# Validation of County Development and County Matters Planning Applications – Local List

March 2020



#### 1. INTRODUCTION

The purpose of this document is to provide users of Oxfordshire County Council's Development Management Service with clear guidance about what information is required when submitting a planning application. The aims of the document are to:

- Ensure submissions are 'fit for purpose' and that all necessary information is provided to enable the application to be determined and the planning permission and conditions to be drafted;
- Speed up the planning application process and make it more efficient by minimising the need for further information to be provided during the application processing period; and
- Provide applicants with greater certainty of the scope and extent of the information required.

Local authorities are not expected to be prescriptive or over-onerous in the use of the Local List and it is intended that only those items on it which are clearly relevant to an application proposal should be used as reasons for non-validation of an application. Applicants have a right of appeal where a local authority uses items on the Local List to not validate an application and the applicant considers that the information required is not relevant.

For the purposes of this document, a planning application is an application:

- for outline or full planning permission;
- for approval of reserved matters pursuant to an outline permission.
- pursuant to Section 73 of the 1990 Act to carry out a development other than in accordance with conditions previously attached to a planning permission; or
- Section 73A of the 1990 Act for development already carried out.

Reference to other applications includes applications:

- for the Non-material amendments to planning permissions;
- Lawful Development Certificates (either for existing or proposed use or development); and
- applications for the discharge of conditions attached to planning permissions (details pursuant applications).

# Standard planning application form: 1APP

There is a mandatory, standard application form (known as 1APP) for all types of application for planning permission, apart from applications for the use of land for working mineral deposits. The appropriate 1APP form is available to download from either the County Council's own website or the Planning Portal website. Applicants are positively encouraged to submit their applications with supporting documents on-line

through the Planning Portal website at <a href="www.planningportal.gov.uk">www.planningportal.gov.uk</a> as this assists in reducing administrative time and costs of determining the application.

#### Validation checklists

Validation means what is required to enable the County Council to register and process a planning application through to determination. The validation checklist system consists of a national list and a local list of information requirements adopted by the County Council for that purpose.

#### What is the difference between the national list and the local list?

The compulsory requirements (the national list) are listed in the **Part One: Statutory national requirements** below. This information must be submitted with all planning applications (unless otherwise indicated in the validation checklist) and is the same throughout the country.

**Part Two:** Local Information Requirements sets out the additional information Oxfordshire County Council might require from applicants if it considers it relevant to the application. This additional checklist is sometimes referred to as the 'Local List'.

Part Three: Validation Checklist sets out when information is required.

If the information that is required in the Validation Checklist is not included with any application for planning permission the Council will declare the application invalid and not register or process it. If this is the case the Council will set out the reasons for declaring the application invalid, in writing, to the applicant.

The validation requirements refer only to the information required to validate the application but the applicant should be aware that the County Planning Authority may still require and request further information where it considers it necessary to determine the application. The validation checklist is not exhaustive and simply aims to cover the most common requirements of applications. Pre-application discussion with a Planning Officer is encouraged.

#### 2. PRE-APPLICATION DISCUSSIONS

The County Council encourages applicants to discuss planning proposals with the Development Management Team before submitting an application. This approach aids in the delivery of a more efficient service by advising on the likely success of potential development prior to formal submission. The benefits to developers include identifying potential problem areas and information requirements avoiding the submission of an incomplete application and thereby giving the applicant a faster decision once the application is made. The request form can be submitted electronically

or manually. The Council charges a fee for these enquiries and will aim to provide a full written response within 21 working days of receipt unless we agree to extend this timescale. The written response will include identification of the main environmental constraints, relevant planning policies and planning history of the site and the supporting information likely to be required with the application along with contact details for key consultees.

Pre-application discussion between the Council, relevant consultees (subject to availability) and the applicant will establish what information and details from the Local Information Requirements contained in the Validation Checklist should be submitted with a planning application. This can help minimise delays later in processing the application. Such discussions may also identify whether other consents or permits may be required in addition to planning permission. It will also provide an opportunity to highlight pre-application requirements, for example:

- The need for an Environmental Impact Assessment of the proposal under the Town and Country Planning (Environmental Impact Assessment) Regulations 2017:
- Hydrological monitoring as required by the Environment Agency;
- Baseline monitoring of the environment prior to development to allow the accurate assessment of any adverse environmental impact arising from the proposed development. Such information will be essential to the preparation of environmental statements, landscape appraisal and visual impact assessment, noise assessment etc;
- Landscape enhancement, ecological survey and/or archaeological assessment where appropriate; and
- Pre-application public consultation.
- Agree the description of development. The County Planning Authority should not alter the description of development on the application form without written agreement from the applicant

The Environment Agency operates a scheme to charge for planning advice outside its statutory role. Natural England also operates a Discretionary Advice Service: <a href="https://www.gov.uk/guidance/developers-get-environmental-advice-on-your-planning-proposals">https://www.gov.uk/guidance/developers-get-environmental-advice-on-your-planning-proposals</a>

#### 3. VALIDATION PROCESS

The applicant has the option of making a planning application electronically or using a paper copy of the 1APP form. Applicants who choose not to apply online will be required to submit a minimum of four complete sets of the completed application form and all the supporting plans and documentation. The County Planning Authority may also request further sets of plans but will not refuse to process the application for this reason. All applications for planning permission however received will be checked against **Statutory national information requirements**.

Where a planning application is received that does not contain the information listed in

Part One: Statutory national information requirements the application will be treated as invalid under article 11(5) of the Town and Country Planning (Development Management Procedure) (England) Order 2015 (DMPO) and the applicant informed in writing with the requirements necessary to validate it. Applicants who want to challenge the invalidity in this case have no right of appeal but may consider a claim for judicial review on legal grounds.

Where a planning application does not include information listed in **Part Two: Local information requirements**, that the Council considers should be provided, then the application will be treated as invalid and the applicant will be informed in writing with an explanation as to what particulars and evidence are required to ensure validation. If the applicant disagrees with the Council's reason(s) for invalidating the application, he/she can serve notice on the Council setting out which particulars or evidence he/she considers are not necessary for the application to be valid with reasons for that view and requesting that the Council waive the requirements. If the Council accepts this then it will notify the applicant in writing that the application is valid (a validation notice). If it does not waive any requirement then it will notify the applicant in writing that the application is not valid (a non-validation notice). The applicant has the right of appeal for the non-determination of a non-validated application after 8, 13 or 16 weeks as appropriate for the application type.

The County Planning Authority will start the process of determining the application as soon as a valid application including the full fee is received. An acknowledgement will be sent giving the date the application was registered and the date by which the decision should be made. A valid application is registered on the day of receipt. If the application is received electronically through the Planning Portal it will be treated as having being delivered at 9am on the next working day after the day it was transmitted.

Where supporting information is subsequently found to be inadequate or its quality is of concern, the time period for determination of 8, 13 or 16 weeks (dependent upon application type) is not stopped whilst additional information is requested from the applicant. Whilst this document contains the authority's general requirements for the type of supporting information, the authority may make a further direction specific to any particular application in accordance with regulation 4 of the Applications Regulations 1988. A direction to the applicant to provide further information should only be made when necessary to assist the County Planning Authority in its determination of an application and it must not affect the validity of an application where it has been validated.

Where a fee in respect of an application is paid by cheque which is subsequently dishonoured or an electronic payment which is declined, the start date for processing the application will be re-set to the day immediately after the County Planning Authority is satisfied that the full fee has been received.

# 4. CONTACTS:

If you have any enquiries relating to submitting a planning application, please contact us by: -

E-mail:<u>planning@oxfordshire.gov.uk\_o</u>r write to:

Development Management Team Leader Communities Oxfordshire County Council County Hall New Road Oxford OX1 1ND

Our Website: www.oxfordshire.gov.uk

#### PART ONE: STATUTORY NATIONAL INFORMATION REQUIREMENTS:

Applicants are encouraged to supply documentation which has been produced electronically in that format. This applies whether the application is made on-line or whether a paper application is made. This facilitates the transfer of information to consultees and for the public to view planning proposals on-line without the need to have to visit the County or District Council Offices. It would be appreciated if the scale of the plans and paper size is no larger than is required to illustrate the proposals. Drawings should avoid the use of unnecessary blocks of colour which all increase the costs of printing.

The national standards for on-line submission of electronic planning documents are as follows:

- Maximum single file size is 5 Mbytes;
- Maximum 25 Mbytes file size (the sum of all document file sizes).
- Portable Document Format (PDF) is the recommended file format to ensure that they are accessible to consultees;
- All drawings shall be saved in a single layer;
- All drawings shall specify the printing page size for which the scale
- applies;
- All drawings shall be correctly orientated for on-screen display
   All drawings shall include a scale bar and key dimensions; All
   documents and drawings shall be named in accordance with the
   Royal Institute of British Architects' naming conventions.
- Scanned documents must be a minimum of 200 dpi resolution for black and white and 100 dpi for colour; All photographs in PDF file format and no larger than 15 cm x 10 cm.

Unless the application is made electronically (on-line through the Planning Portal, by e-mail, on a CD or DVD) the original and a minimum of 3 additional paper copies of the following documents are required:

# i. Application forms:

The Council's relevant 1APP application form(s) are required and these must be signed and dated with all relevant sections completed. Separate forms are available for applications relating to minerals related development, as this is not yet covered by 1APP. These can be downloaded from the Planning Portal or the County Council's website, or obtained by contacting the Development Management team as set out above.

https://www.oxfordshire.gov.uk/residents/environment-and-planning/planning/make-planning-application/making-planning-application

or Planning Portal 1 – APP:

http://www.planningportal.gov.uk/planning/applications/planningapplications

## ii. Application Fee:

Planning applications and other submissions cannot be processed without payment of the correct fee, as determined in the Town and Country Planning (Fees for Planning Applications) Regulations 1989 (as amended). A detailed explanation of planning fees is contained in Circular 04/2008 Planning Related Fees or the Planning Portal's fee calculator can be used. Payment by cheque or making an electronic payment for the correct fee amount will be taken as payment of the application fee. Cheques should be made payable to Oxfordshire County Council. If the cheque is subsequently dishonoured or payment refused, the application becomes invalid until such time as the correct fee is received. See validation process above.

Fees can also be paid by BACS transfer using the following details:

Sort Code 30-00-02 Account number 00162197 Account Name Oxfordshire County Council.

A reference should also be provided, as agreed with the Planning Officer, to ensure the payment can be located.

The current application fees may be viewed via the planning portal:

https://www.planningportal.co.uk/info/200126/applications/59/how\_to\_apply/7

Planning Policy Drivers or related Guidance

National Planning Practice Guidance – Fees for Planning Applications

http://planningguidance.planningportal.gov.uk/guidance/fees-for-planning-applications/

# iii. Ownership & Agricultural Holding Certificates:

A completed, signed and dated Ownership Certificate A, B, C or D incorporating the Agricultural Holdings Certificate must be submitted as set out under Section 65(5) of the Town and Country Planning Act 1990, and Section 10 of the Town and Country Planning (Development Management Procedure) (England) Order 2015. certificates are part of the 1APP standard form. The ownership certificate states the ownership of the whole of the application site, including land which gives access to the site from the public highway or where development abuts or simply overhangs the boundary with the adjoining land or property. For this purpose an owner is anyone with a freehold interest or leasehold interest, the unexpired term of which is not less than 7 years.

Where the applicant is the sole owner of the land at the beginning of a period of 21 days before the application is submitted, certificate A is completed. Where this is not the case certificate B should be completed where the names and addresses of the other owners are known. Notice(s) need to be served on

every owner of any part of the land to which the application site relates 21 days before the application is submitted. Certificate C should be completed where some but not all of the names and addresses of the other owners are known and certificate D where none of the other owners names or addresses is known. In the case of there being any unknown owners, an advertisement must be placed by the applicant in a local paper for a period of at least 21 days prior to submission of the application. The applicant will need to specify the steps undertaken to find owners such as Land Registry enquiries.

All agricultural tenants (as defined by the Agricultural Holdings Act 1986) must be notified **prior** to the submission of the application and their names and addresses provided. If the land does not form part of an Agricultural Holding then this must be confirmed.

# iv. Design and Access Statement:

A Design and Access Statement (DAS) must accompany planning applications for:

- Applications for major development (where the site area is 1 ha or more or the new floorspace to be created would be 1,000 m<sup>2</sup> or more);
- where any part of the development is in a designated area (A Conservation Area or a World Heritage Site) development consisting of—
  - (i) the provision of one or more dwelling houses; or
  - (ii) the provision of a building or buildings where the floor space created by the development is 100 m<sup>2</sup> or more.

A statement will not be required for:

- (a) permission to develop land without compliance with conditions previously attached, made pursuant to section 73 of the 1990 Act;
- (b) engineering or mining operations;
- (c) a material change in use of the land or buildings; (d) development which is waste development.

Further advice can be found in the Planning Practice Guidance: <a href="https://www.gov.uk/guidance/making-an-application#Design-and-Access-Statement">https://www.gov.uk/guidance/making-an-application#Design-and-Access-Statement</a>

Planning Policy Drivers or related Guidance

The Town and Country Planning (Development Management Procedure) (England) Order 2015

http://www.legislation.gov.uk/uksi/2015/595/pdfs/uksi 20150595 en. pdf

## v. Location Plan:

The location of the application site shall be identified on a plan based on an up to date Ordnance Survey Map at a suitable metric scale proportionate to the size of the development.

Plans should be clearly titled, given a unique reference number and dated. The plans should wherever possible show at least two named roads and surrounding buildings and the properties shown should be numbered or named to ensure that the exact location of the application site is clear.

The application site must be edged clearly with a solid **red** line and include all land necessary to carry out the proposed development (e.g. land required for access to the site from a public highway, visibility splays, landscape treatment, car parking and open areas around the buildings). The size of the application site can in some cases determine the fee payable for the application and should be carefully drawn.\*

A **blue** line must be drawn around any other land owned by the applicant, close to or adjoining the application site.\*

\* These may instead be shown on the Site Plan if the Location Plan scale is not appropriate to accurately show the required detail.

#### vi. Site Plan:

The site plan should be submitted at a scale of:

- 1:200 or 1:500 for planning applications for most built development;
- 1:1250 or 1:2500 for minerals and waste development or other development over an area of 1 ha or more.

Plans should be clearly titled and given a unique reference number and dated. The plans should accurately show:

- The direction of North;
- The proposed development in relation to the site boundaries and other existing buildings on the site, with written dimensions including those to the boundaries.

And the following unless these would not influence or be affected by

## the proposed development:

- All the buildings, roads and footpaths on land adjoining the site including access arrangements.
- All public rights of way crossing or adjoining the site;
- The position of all trees and other structural vegetation such as hedgerows on
- the site and those on adjacent land;
- Location, type and extent of any notable features such as ponds, veteran trees etc
  - The extent and type of any hard surfacing; and
  - Boundary treatment including walls or fencing where this is proposed.

The Site Plan may also show the red line for the application area and the blue line for land in the applicant's ownership if this can be shown more accurately than would be possible at the location plan's scale.

# **Updates and superseded plans**

If the applicant needs to update or replace a supporting plan or document, the updated or replacement document should be clearly labelled as another version of the document e.g. Revision A and, if submitted through the Planning Portal, the County Planning Authority informed of the title of the replacement document. Where plans are updated, the applicant should provide guidance as to the updates made, either by way of listing the changes made or clearly identifying the changes on the plans.

#### PART TWO - LOCAL INFORMATION REQUIREMENTS

#### Other Plans:

In addition to the location plan and site plan, other plans should be submitted (dependent on the type of application and development proposed) to explain the proposal in detail. The drawings submitted should show details of the existing building(s) as well as those for the proposed development. Where existing buildings and or walls are to be demolished these should also be clearly shown. Drawings should be drawn to an identified scale, clearly and logically titled, show the direction of north and be given a unique reference number and dated.

#### **Block Plan**

The plan should be of a scale of 1:100 or 1:200 showing any site boundaries; the type and height of boundary treatment (e.g. walls, fences etc); and the position of any building or structure on the other side of such boundaries.

## **Existing and proposed elevations**

All elevations should be submitted drawn to a scale of 1:50 or 1:100 and should show clearly the proposed works in relation to what is already there. Elevations should be clearly titled and given a unique reference number, signed and dated. All sides of the proposal must be shown and orientations labelled. It will not be sufficient to state front, side and rear elevation.

Elevations should indicate the proposed building materials and the style, materials and finish of windows and doors. Blank elevations must also be included; if only to show that this is in fact the case.

Where a proposed elevation adjoins another building or is in close proximity, the drawings should clearly show the relationship between the buildings, and detail the positions of the openings on each property.

## **Existing and Proposed Floor plans**

These should be shown at a scale of 1:50 or 1:100 and be labelled to show the existing and proposed usage. Where buildings or walls are to be demolished these should be clearly shown. New buildings should be shown in relation to adjacent buildings.

# Existing and Proposed site Sections and finished floor and site levels

In cases where a proposal involves a change in ground levels or is on a sloping site, drawings at a 1:50, 1:100 or 1:200 scale should be submitted showing a cross section through the proposed building or site to include details of foundations and eaves and how encroachment onto adjoining land is to be avoided. Drawings should be submitted to show both existing and finished levels. The drawings may take the form of contours, spot levels or cross or long sections as appropriate and should be correlated to Ordnance Survey Datum height or to a clear, permanent and accessible local datum.

#### Roof Plan

A roof plan is used to show the shape of the roof and is typically drawn at a scale smaller that the scale used for floor plans. Details such as roofing materials, vents and their location are typically specified on a roof plan.

Please note: Plans should not contain the phrase 'Do not scale' and if not drawn to scale will not be accepted other than when clearly stated to be solely for illustrative purposes.

All plans should be scaled to fit A4 or A3 sized paper (unless agreed with the Planning Officer that larger size paper is appropriate) and must have:

- a title
- a scale
- a legend
- units of measurement noted
- north arrow if relevant
- a unique reference number.

# **Highway Plans**

Any drawings of proposed highway works should be supplied as separate drawings, in separate files, as well as being referenced in the Transport Assessment.

#### **Aftercare and Restoration scheme**

Mineral and waste planning applications need to demonstrate how the site is proposed to be restored. Details should include the type of material with which the site would be infilled, sources and volumes of materials to be used in restoration, working methods, the end use of the site such as agriculture, woodland or habitat creation and the reason for the choice. Should the proposal involve the disturbance of existing agricultural land, details of soil resources, including consideration of the ecosystem value of the soil resource, and the Agricultural Land Classification will need to be provided as well as a statement of what the intended restored grade the land would be. It will usually include a soil handling strategy indicating the methodology for soil stripping, storage and replacement, the plant and machinery to be used and proposals for the restoration and where appropriate enhancement of the soil ecosystem, in particular through consideration of the role of soil organic matter.

A restoration plan should be provided showing the site boundary, site context, proposed contours, proposed habitats and land uses, proposed planting, proposed features (e.g. waterbodies, log piles, etc), plant specification and notes on implementation and management.

A phasing plan may be required to show how the site would be progressively restored over a period of years following extraction and infilling of the ground.

A topographical survey showing predevelopment contours and proposed contours will be required. Details of ground contours on completion and at the end of the aftercare period should be provided, to show settlement.

An aftercare management plan would also be required setting out how the site would be maintained and monitored for a period of 5 years following the final restoration of the site. This should include details of how the management plan will be monitored and updated during the aftercare period and what measures will be put in place to ensure that the desired restoration outcomes are achieved.

NB Established standard practice in Oxfordshire is to have 20 years of longterm management in addition to 5 years of aftercare, secured through Section 106 agreement.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework (2019) paragraphs 170, 174, 204, 205
- Guidance for Planning and aftercare advice for reclaiming land to agricultural use
  - https://www.gov.uk/government/publications/reclaim-mineralsextraction-and-landfill-sites-to-agriculture/planning-and-aftercareadvice-for-reclaiming-land-to-agricultural-use
- National Planning Practice Guidance Restoration and Aftercare of Minerals Sites:
  - https://www.gov.uk/guidance/minerals#Restoration-and-aftercare-of-minerals
- RSPB (2006): Nature After Minerals: how mineral site restoration can benefit people and wildlife
  - https://www.rspb.org.uk/our-work/our-positions-and-casework/our-positions/land-use-planning/minerals-planning/
  - Bohgal, A. et al; 2009, Best Practice for Managing Soil Organic Matter in Agriculture – Manual of Methods for 'Lowland' Agriculture, ADAS, July 2009

# **Air Quality**

All proposals that have the potential to have an adverse impact on air quality, including impacts on human health and sensitive ecological habitats, sites and species both during the construction phase and the operational phase will require assessment of any adverse effects and the submission of a scheme detailing how the application will limit its impact on the surrounding environment. This will include proposals which will significantly alter the traffic composition in an area such as heavy goods delivery traffic or industrial activities with significant emissions to the atmosphere regulated by Environmental Permit or introduction of a new school or public building close to an existing source of air pollution Any application that has the potential to generate increased dust should include a dust suppression scheme will need to indicate how the applicant will minimise the impact of dust on the surrounding area.

Where the development is proposed inside, or adjacent to, an air quality management area (AQMA), where the development could in itself result in the designation of an AQMA or where the grant of planning permission would conflict with, or render unworkable, elements of a Planning Authority's air quality action plan, applications should be supported by such information as is necessary to allow a full consideration of the impact of the proposal on the air

quality of the area.

Air Quality Management Areas are defined by the District Councils and details can be found on their websites.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs 181 https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf
- The APIS website

# http://www.apis.ac.uk/

 National Planning Practice Guidance – How Detailed Does An Air Quality Assessment Need to Be

http://planningguidance.planningportal.gov.uk/blog/guidance/air-quality/how-detailed-does-an-air-quality-assessment-need-to-be/

#### **Bioaerosol Assessment**

Applications that involve the handling, storage or treatment of biodegradable waste, particularly composting applications, will need to be accompanied by a bioaerosol Assessment. This will provide a risk assessment to ascertain the potential impacts on neighbouring sensitive properties or other sensitive locations. Bioaerosol assessments should identify sources, pathways and receptors, paying particular attention to sensitive receptors and including mitigation measures.

Environment Agency - Guidance for developments requiring planning permission and environmental permits <a href="https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/297009/LIT\_7260\_bba627.pdf">https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/297009/LIT\_7260\_bba627.pdf</a>

#### **Biodiversity and Geodiversity Assessment**

The Planning Authority has a duty to consider the conservation and enhancement of biodiversity when determining a planning application. This is in accordance with relevant policies within the Local Plans/Local Development Frameworks, Oxfordshire's Biodiversity Action Plan and Conservation Target Areas and National Policy Guidance set out in the National Planning Policy Framework and DEFRA Circular 06/2005.

In addition statutory requirements under legislation including the Wildlife and Countryside Act 1981, the Conservation of Habitats and Species Regulations 2017, the Badgers Act 1992, as well as the general biodiversity duty placed on Local Authorities within <u>Section 40 of the Natural Environment and Rural Communities Act 2006</u> must be taken into account.

Local requirements indicating how biodiversity and/or geodiversity should be considered by planning applications are set out in Appendices 1 to 5 and applicants should ensure that they follow the guidance in the British Standard

BS42020 (Biodiversity – Code of Practice for Planning & Development (2013) and in the Oxfordshire Biodiversity & Planning Guidance Document: <a href="https://www.oxfordshire.gov.uk/residents/environment-and-planning/countryside/natural-environment/environmental-policy-and-planning/biodiversity-and-planning">https://www.oxfordshire.gov.uk/residents/environment-and-planning/countryside/natural-environment/environmental-policy-and-planning/biodiversity-and-planning</a>] The local requirements are presented so as to assist the applicant to not only answer the questions on the main 1APP

planning/biodiversity-and-planning ] The local requirements are presented so as to assist the applicant to not only answer the questions on the main 1APP form, but also supply the relevant biodiversity and/or geodiversity information that will allow the Planning Authority to validate and determine the application. If impacts to biodiversity and/or geodiversity are indicated by the requirements, and supporting information is NOT supplied to the Planning Authority, then the application will not be validated.

Consideration of the conservation of biodiversity and geodiversity when determining a planning application includes:

- designated sites
- legally protected species
- habitats and species of principal importance for biodiversity (priority species and habitats)
- habitats and species with plans in Oxfordshire's Biodiversity Action Plan and Conservation Target Areas and/or geological features highlighted in a Oxfordshire's Local Geodiversity Action Plan for Lower and Middle Jurassic and the Local Geodiversity Action Plan for the North Wessex Downs AONB.

Where a proposed development is likely to affect such a site, species, habitat or geological feature, the applicant should normally submit a Survey and Assessment. Information and guidance on when a Survey and Assessment is required to validate an application is provided in Appendices 1 to 4.

Natural England can also advise on such requirements where there is a potential impact on nationally designated sites and/or legally protected species. See the Natural England website (<a href="www.naturalengland.org.uk">www.naturalengland.org.uk</a>) for further advice and relevant contacts.

#### Information required for ecology reports

Ecology reports submitted as part of a planning application must include a data search from the <u>Thames Valley Environmental Records Centre (TVERC)</u> rather than the NBN Gateway or MAGIC, unless it has previously been agreed with a planning officer that this is not necessary.

Submitting a desk study including data from TVERC will avoid unnecessary and costly delays to processing the planning application and ensures the application complies with the <u>National Planning Policy Framework</u> and the <u>NBN Gateways</u> terms & conditions.

The authors of ecological survey reports for planning applications should be able to answer 'Yes' to all of the following questions:

- Do you have access to all the records at the highest available resolution?
- Do you have written permission from all the data providers to use their data in this way?
- Did you also contact TVERC to ensure that they have access to records which are not yet on the NBN Gateway?

If you cannot answer 'Yes' to the above questions, the planning application may not be validated.

Any suspected breaches of data providers' copyright or terms and conditions will be reported by the local planning authority to the data provider, who may take appropriate action.

# Informative - Appropriate Assessment

Pursuant to the requirements of the Conservation of Habitats and Species Regulations 2017, the Planning Authority may have to carry out an Appropriate Assessment. Appropriate Assessment tests whether a proposal either alone or in combination with other development in proximity of the following sites is likely to have a significant negative impact on:

- Designated or potential Special Protection Areas a European designation which protects birds;
- Designated or candidate Special Areas of Conservation a European designation which protects habitats;
- Ramsar or proposed Ramsar sites an international designation which protects wetlands.

It is recommended that Natural England should be consulted prior to the submission of an application to determine if there is likely to be a significant impact. Appropriate Assessment does not apply to other designations, like Sites of Special Scientific Interest or Areas of Outstanding Natural Beauty.

Planning Policy Drivers and related guidance:

• The National Planning Policy Framework February 2019 paragraphs 170, 171, 174, 175, 176 and 177

https://www.gov.uk/government/publications/national-planning-policy-framework-2

 Planning for Sustainable Waste Management: Companion Guide to Planning Policy Statement 10 - annex A

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/7780/150805.pdf

Circular 06/2005 Biodiversity and Geological Conservation –
 Statutory Obligations and Their Impact Within The Planning System

https://www.gov.uk/government/publications/biodiversity-and-geological-conservation-circular-06-2005

- National Planning Practice Guidance Natural Environment: <a href="http://planningguidance.planningportal.gov.uk/blog/guidance/natural-environment">http://planningguidance.planningportal.gov.uk/blog/guidance/natural-environment</a>
- Oxfordshire Biodiversity and Planning Guidance: https://www.oxfordshire.gov.uk/residents/environment-and-planning/countryside/natural-environment/environmental-policy-and-planning/biodiversity-and-planning
- Oxfordshire County Council Natural Environment webpage

# http://www.oxfordshire.gov.uk/cms/public-site/natural-environment

Oxfordshire's Biodiversity Action Plan and Conservation Target Areas

http://www.oxfordshire.gov.uk/cms/content/oxfordshires-biodiversity-action-plan

Geodiversity

http://www.oxfordshiregeologytrust.org.uk/wp-content/uploads/2017/03/L\_MJurLGAP.pdf

http://www.northwessexdowns.org.uk/uploads/File\_Management/Publications/Landscape/NWD\_LGAP.pdf

Oxfordshire Wildlife and Landscape Study (OWLS)

http://owls.oxfordshire.gov.uk/wps/wcm/connect/occ/OWLS/Home/

Natural England Standing Advice on Protected Species
 https://www.gov.uk/guidance/protected-species-how-to-review-planning-applications

# Birdstrike Risk Management Plan

All applications involving mineral extraction or quarrying, landfill, sewage disposal and restoration schemes with major tree planting or nature reserves which would be attractive to birds falling within 13 kilometres of Civil Airports and Ministry of Defence Airfields will need to be accompanied by details of appropriate bird control measures to reduce the risk of birdstrike to aircraft. Further guidance is available from the Civil Aviation Authority.

Planning Policy Drivers and related guidance:

National Planning Policy for Waste <a href="https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/364759/141015\_National\_Planning\_Policy\_for\_Waste.pdf">https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/364759/141015\_National\_Planning\_Policy\_for\_Waste.pdf</a>

# **Borehole or Trial Pit Analysis**

For mineral extraction proposals the analysis should identify:

- depth and volume of soils and minerals proposed to be
- extracted mineral type
- position of the winter water table and include the results of soakage tests.

### Daylight/sunlight assessment

Applications that may give rise to adverse impacts on the levels of daylight enjoyed by surrounding buildings may need to submit a daylight / sunlight assessment. This should include consideration of new features such as tree planting from which the impact may take some time to develop.

Planning Policy Drivers and related guidance:

British Standard Code of Practice for daylighting, BS8206 Part 2

# Proposed/Draft Heads of Terms for Planning obligations

Planning obligations (or Section 106 agreements) are agreements negotiated between local planning authorities and persons with an interest in a piece of land and are intended to make acceptable development which would otherwise be unacceptable in planning terms.

This could include brief draft heads of terms for an agreement. Applicants should clarify the County Council's potential requirements in pre-application discussions and confirm any planning obligations that they might need to meet.

Planning Policy Drivers and related guidance:

The National Planning Policy Framework 2019 paragraphs 203 to 205

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

National Planning Practice Guidance – Planning Obligations

https://www.gov.uk/guidance/planning-obligations

# **Surface Water Drainage Strategy**

Applications involving the creation of new or extended impermeable areas should be supported by a drainage strategy which includes:

- Discharge Rates
- Discharge Volumes
- Maintenance and management of SUDS features
- Sizing of features attenuation volume
- Infiltration in accordance with BRE365
- Detailed drainage layout with pipe numbers
- Network drainage calculations

Climate change and opportunities to enhance biodiversity should be taken into account in the drainage strategy.

## Planning Policy Drivers and related guidance:

NPPF paragraph 103:

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

Non-statutory technical standards for sustainable drainage systems:

https://www.gov.uk/government/publications/sustainable-drainage-systems-non-statutory-technical-standards

Building Regulations Approved Document Part H

http://www.planningportal.gov.uk/buildingregulations/approveddocuments/parth/

- CIRIA C522 document Sustainable Drainage Systems design manual for England and Wales
- CIRIA C697 document SuDS manual The Interim Code of Practice for Sustainable Drainage Systems

# http://www.ciria.org

#### **Dust Assessment**

Applications which may give rise to dust emissions have the potential to cause significant nuisance effects and adverse impacts on human health and sensitive ecological sites, habitats or species both during the construction phase and the operational phase. This includes dust particles that can be seen and those too fine to be seen by the human eye. Applicants will need to assess any adverse effects of dust resulting from any development which could generate dust and describe the appropriate controls that will be used to mitigate the impact. This could be a stand-alone dust risk assessment and consequent dust management plan or as part of a wider Air Quality Assessment where there are operational phase effects to be considered.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraph 205
- National Planning Policy for Waste, Appendix B <a href="https://www.gov.uk/government/publications/national-planning-policy-for-waste">https://www.gov.uk/government/publications/national-planning-policy-for-waste</a>

#### Flood Risk Assessment

All development should address the potential flood risk from development and the need for the Sequential Test. This test is designed to steer development away from higher risk Flood Zones and involves considering alternatives for development. The Planning Authority determines the Sequential Test and it should be discussed at pre- application stage. The Exception Test also needs to be passed for certain types and locations of development.

Planning applications for development proposals of 1ha/1,000 m2 of new floor space or greater in Flood Zone 1 and all proposals for new development, which are located in Flood Zones 2 and 3, or other areas where the Environment Agency, Internal Drainage Boards and other bodies have indicated that there may be drainage problems, should be accompanied by a Flood Risk Assessment. This Assessment should identify and assess the risks of all forms of flooding to and from the development and demonstrate how these flood risks will be managed taking climate change into account. Modelled flood levels (where available) are obtainable from the Environment Agency through a data request and maps of flood zones can be viewed on their website <a href="http://www.environment-agency.gov.uk/">http://www.environment-agency.gov.uk/</a>. In addition National Planning Practice Guidance provides comprehensive guidance in relation to the undertaking of the Sequential and Exception Tests, Flood Risk Assessments and the responsibilities for controlling development where it may be directly affected by flooding or affect flooding elsewhere.

Planning Policy Drivers and related guidance:

 The National Planning Policy Framework 2019 paragraphs 94, 99 to 104

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

National Planning Practice Guidance – Flood Risk

http://planningguidance.planningportal.gov.uk/guidance/flood-risk-and-coastal-change/

- National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste
- District Council Strategic Flood Risk Assessments

http://www.cherwell.gov.uk/index.cfm?articleid=4356 http://www.southoxon.gov.uk/services-and-advice/planning-and-building/planning-policy/evidence-studies/district-flood-risk

http://www.whitehorsedc.gov.uk/services-and-advice/planning-and-building/planning-policy/new-local-plan-2029/evidence-base/strategi

Oxfordshire County Council Preliminary Flood Risk Assessment

http://www.oxfordshire.gov.uk/cms/content/oxfordshire-preliminary-flood-risk-assessment-pfra

- Oxfordshire County Council Local Flood Risk Management Strategy <u>https://www.oxfordshire.gov.uk/cms/content/oxfordshire-local-flood-risk-management-strategy</u>
- Oxfordshire County Council Flood Toolkit

https://www.oxfordshirefloodtoolkit.com/planning/

Building Regulations Approved Document Part H

http://www.planningportal.gov.uk/buildingregulations/approveddocuments/parth/

- CIRIA C522 document Sustainable Drainage Systems design manual for England and Wales
- CIRIA C624 document Guidance for FRAs
- CIRIA C697 document SuDS manual The Interim Code of Practice for Sustainable Drainage Systems

http://www.ciria.org

# **Foul Sewage and Utilities Statement**

If an application proposes to connect a development to the existing drainage

system then details of the existing system should be shown on the application drawing(s). It should be noted that in most circumstances surface water is not permitted to be connected to the public foul sewers. Where the development involves the disposal of trade waste or the disposal of foul sewage effluent other than to the public sewer, then a fuller foul drainage assessment will be required including details of the method of storage, treatment and disposal. A foul drainage assessment should include a full assessment of the site, its location and suitability for storing, transporting and treating sewage. Where connection to the mains sewer is not practical, then the foul/non-mains drainage assessment will be required to demonstrate why the development cannot connect to the public mains sewer system and show that the alternative means of disposal are satisfactory. Guidance on what should be included in a non-mains drainage assessment is given in DETR Circular 03/99 and Building Regulations Approved Document Part H and in BS6297. Applicants should discuss the specific details required with the relevant utility provider.

If the proposed development results in any changes/replacement to the existing system or the creation of a new system, scale plans of the new foul drainage arrangements will also need to be provided. This will include a location plan, cross sections/elevations and specification. Drainage details that will achieve Building Regulations Approval will be required. If connection to any of the above requires crossing land that is not in the applicant's ownership, other than on a public highway, then notice may need to be served on the owners of that land.

An application should indicate how the development connects to existing utility infrastructure systems. Most new development requires connection to existing utility services, including electricity and gas supplies, telecommunications and water supply, and also needs connection to foul and surface water drainage and disposal. Two planning issues arise; firstly, whether the existing services and infrastructure have sufficient capacity to accommodate the supply/service demands which would arise from the completed development, and secondly, whether the provision of services on site would give rise to any environmental impacts, for example, excavations in the vicinity of trees or archaeological remains.

The applicant should demonstrate that :-

- (a) following consultation with the service provider, the availability of utility services has been examined and that the proposals would not result in undue stress on the delivery of those services to the wider community. Written confirmation of this from the service provider should accompany any application;
- (b) proposals incorporate any utility company requirements for substations, telecommunications equipment or similar structures;
- (c) service routes have been planned to avoid the potential for damage to trees and archaeological remains; and
- (d) where the development impinges on existing infrastructure the provisions for relocating or protecting that infrastructure have been agreed with the service provider and written confirmation of this from the service provider is submitted.

Planning Policy Drivers and related guidance:

National Planning Practice Guidance - Water supply, wastewater and

water quality

http://planningguidance.planningportal.gov.uk/blog/guidance/water-supply-wastewater-and-water-quality/

Approved Document H – Drainage and Waste Disposal 2015 edition

http://www.planningportal.gov.uk/buildingregulations/approveddocuments/parth/approved

#### **Green Belt Statement**

For applications in the Oxford Green Belt, where a development is inappropriate within the Green Belt, a statement will be required including an explanation of how the proposal relates to the purposes of including the site within the Green Belt, how the development impacts on the openness of the Green Belt and the case for any very special circumstances. Planning applications for extensions to buildings or replacement buildings in the Oxford Green Belt need to include volume calculations (measured externally) of the existing building, the proposed extension/replacement building and any previous extensions to the building.

Planning Policy Drivers and related guidance:

The National Planning Policy Framework 2019 paragraphs 133 to 147

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6 077/2116950.pdf

## **Heritage and Archaeological Statements**

For developments affecting the historic environment (Listed Buildings, Conservation Areas, Scheduled Ancient Monuments, Historic Parks and Gardens, World Heritage Sites (Blenheim Palace) other areas of possible archaeological interest) a Heritage and/or Archaeological Statement may be required. The scope and degree of detail necessary in a Heritage and Archaeological Statement will vary according to the particular circumstances of each application. Applicants are advised to discuss proposals with the County Council's Historic Environment team before any application is made. The following is a guide to the sort of information that may be required for different types of application.

In the case of designated sites/structures, applicants should be aware of the need for the appropriate consent (Listed Building Consent, Conservation Area Consent or Scheduled Monument Consent) in addition to planning permission. Advice on the need for Listed Building and Conservation Area consent should be sought from the relevant District Council. Advice on the need for Scheduled Monument Consent should be sought from Historic England.

For both designated and undesignated sites, buildings and structures the following types of assessment may be required. Further advice should be sought from the County Council's Historic Environment team.

Desk-based assessment: This will comprise the collation of existing archaeological and historic information about the application site and the production of a report summarising this material. An assessment of the impact of the proposed development on the archaeological site, historic building or

other aspects of the historic environment may be required. It should be carried out in accordance with the Chartered Institute for Archaeologists standards and guidance for desk-based assessments including the submission of an appropriate written scheme of investigation to agree the scope of the assessment.

Field evaluation: More detailed investigation of the site or building may be required to complete the assessment of the impact of the proposed development. This is likely to involve on-site investigation such as geophysical survey and the excavation of test pits or trenches. This work must be discussed with the County Council's Historic Environment team in advance and carried out in accordance with the Chartered Institute for Archaeologists standards and guidance for archaeological evaluation including the submission and agreement of a written scheme of investigation.

Where pre-development archaeological investigations are required, these should be informed by appropriate prior ecological survey to ensure that biodiversity is not harmed; including details of any mitigation, compensation and subsequent management of biodiversity interest.

For applications adjacent to a listed building or within or adjacent to a conservation area which may affect its setting, an assessment of the impact of the development on the character and appearance of the area may be required.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs
   184 to 202
- National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste

## **Hydrological Assessment**

Where dewatering of mineral workings is proposed, applicants are strongly advised to consult the Environment Agency and the County Council's Drainage Engineer at an early stage and to involve a qualified Hydrogeologist. Technical information, including the calculation of the extent and volumes of dewatering will be required in order for the Agency and the County Council to assess further investigations. This may include monitoring of the existing water regime for at least 12 months prior to submission of the application in order to ensure that surface and ground water can be safeguarded. The potential impacts on biodiversity should also be considered.

Applicants should indicate the natural water table including its depth, source catchment areas and characteristics. The statement must show that third parties will not be affected by the dewatering. Where investigations show that dewatering will have an impact on public and private water supplies or water bodies or watercourses details of mitigating measures must be included in the application e.g. recharging reservoirs etc.

Details of proposed methods of dewatering and proposed methods of water disposal must be given. Applicants should include proposed measures to control potential pollution to protect ground and surface water. They should also give an indication of any necessary drainage and flood control measures; and proposed monitoring measures, including any requirements for the provision of settlement lagoons; the way in which surface water is to be disposed of; the avoidance of impairing drainage from adjoining areas; and the prevention of material entering open watercourses.

NB - All works which affect non-main rivers require approval and licensing by the relevant Councils:-

West Oxfordshire District Council
South Oxfordshire District Council
Vale of White Horse District Council
Oxford City Council
Oxfordshire County Council (covering Cherwell District Council).

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraph 205
- National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste

#### **Land Contamination Assessment**

Applications affecting land which is thought to possibly be contaminated through earlier development, may need to be accompanied by a land contamination assessment that should include an extended assessment of contamination. Sufficient information is required to determine the existence or otherwise of contamination, its nature and the risks it may pose and whether these can be satisfactorily reduced to an acceptable level.

Where contamination is known or suspected or the proposed use would be particularly vulnerable (such as schools or near public water supplies), the applicant should provide such information, in the form of a preliminary risk assessment, with the application as is necessary to determine whether the proposed development can proceed. The preliminary risk assessment should comprise the following:

- A desk study:
- Walkover site reconnaissance:
- Conceptual model identifying potential pollution sources, pathways and receptors (pollutant linkages) as a basis for assessing the risks and appraising the options for remediation.

Applications involving development of sites on or within 250 metres of a former landfill site will need to include a risk assessment of landfill gas migration. In addition, long-term monitoring may be required, and this would need to be secured through a legal agreement.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs 178

   180.
- Model Procedures for the Management of Land Contamination –

# Contaminated Land Report 11

http://www.environment-agency.gov.uk/research/planning/33740.aspx

# **Landfill and Mining Waste Applications**

For applications including landfill and/or mining waste, sufficient information should be provided to enable the County Planning Authority to fulfil its requirements under the Waste (England and Wales) Regulations 2011. This information may be provided as part of the Supporting Planning Statement or Environmental Statement.

Planning Policy Drivers and related guidance:

The Waste (England and Wales) Regulations 2011

http://www.legislation.gov.uk/ukdsi/2011/9780111506462/contents

# **Landscape and Visual Impact Assessment**

Landscape is defined in accordance with the European Landscape Convention (2000), as "an area, as perceived by people, whose character is the result of the action and interaction of natural and/or human factors'. This includes both urban 'townscapes' and rural landscapes.

An LVIA will be required for major developments that are considered to be EIA developments or which have the potential to cause significant landscape and visual impacts.

As a general rule a Landscape and Visual Impact Assessment (LVIA) will be required for developments that are within an Area of Outstanding Natural Beauty (AONB), within the setting of an AONB, or within any other protected or sensitive landscape area designated in a County Council assessment, District Council Core Strategy, Local or Neighbourhood Plan. Major developments outside a designated area might also require a LVIA depending on their scale, nature, location and envisaged impacts.

The LVIA should be produced in accordance with the Landscape Institute and the Institute of Environmental Management & Assessment Guidelines for Landscape and Visual Impact Assessment (third edition) and should include:

- Detailed project description / specification including transport routes and information on lighting (if applicable);
- Assessment methodology issues of susceptibility, value, sensitivity, magnitude of effects, significance of effects together with a transparent step by step approach on how effects have been assessed.
- Separate assessment of landscape effects and visual effects.
- Baseline landscape and visual conditions
  - Landscape baseline
     This should not only include a desk-based review of the existing local landscape character assessments but should identify key characteristic elements and features of the site and its context.

- Visual baseline
   Definition of the Zone of Theoretical Visibility (ZTV), identification of visual receptors, selection of representative viewpoints, photographs from representative viewpoints including the extent of the site together with some explanation of how this view would be impacted upon.
- Assessment of landscape and visual effects (including lighting) for different stages of the project, i.e. construction, operation and restoration.
- Assessing the significance of landscape and visual effects (where applicable)
- Information on mitigation / prevention / avoidance and residual effects
- Photomontages or wireframe images for selected viewpoints (where appropriate) for different stages of the development, typically 'Existing View', 'Development/operation - Day One', 'Development - Year 15' (ie after restoration)
- Assessment of cumulative effects (where applicable)

It is recommended that representative viewpoints and the requirement of photomontages / wireframe images are agreed with the County Council during the preparation of the LVIA.

Small-scale developments that due to their scale, nature and location are unlikely to cause major landscape and/or visual impacts might not be required to carry out a full LVIA but another form of assessment such as a Landscape Appraisal. The level of assessment will need to be determined on a case by case basis and applicants are encouraged to seek pre-application advice on this.

Planning Policy Drivers and related guidance:

• The National Planning Policy Framework 2019 paragraphs 127, 170, 172, 180,

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

- National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste
- Guidelines for Landscape and Visual Impact Assessment,  $3^{rd}$  Edition ( 2013) The

Landscape Institute and Institute for Environmental Management and Assessment

https://www.landscapeinstitute.org/technical-resource/landscape-visual-impact-assessment/#

- Guidelines for Visualization of development <u>https://www.landscapeinstitute.org/visualisation/</u>
- Oxfordshire Wildlife and Landscape Study 2004 (OWLS)

http://owls.oxfordshire.gov.uk/wps/wcm/connect/occ/OWLS/Home/

This should be read in conjunction with any relevant District or local level landscape character assessments, management plans and position statements

- The Chilterns Area of Outstanding Natural Beauty Management Plan <a href="http://www.chilternsaonb.org/conservation-board/management-plan.html">http://www.chilternsaonb.org/conservation-board/management-plan.html</a>
- The Cotswolds Area of Outstanding Natural Beauty Management Plan

https://www.cotswoldsaonb.org.uk/planning/cotswolds-aonb-management-plan/

 The North Wessex Downs Area of Outstanding Natural Beauty Management Plan

http://www.northwessexdowns.org.uk/About-Us/aonb-management-plan.html

# **Landscaping Scheme**

The impact a proposal has on the locality is an important consideration for the County Council when determining planning applications. Unless otherwise indicated by the County Council, applications are to be accompanied by landscaping details and include proposals for long-term maintenance and landscape management. It will assist your proposal greatly if the Landscape in g Scheme is clear and specific, and makes reference to suitable methods of ameliorating any potential negative impacts.

Landscaping schemes should include the following:

- (a) proposed finished ground levels or contours; drainage details; means of enclosure; car parking layouts; other vehicle and pedestrian access and circulation areas, hard surfacing materials, structures and ancillary objects (refuse bins, lighting columns etc) and landscape context;
- (b) planting plans; written specifications (including cultivation and other operations associated with plant and grass establishment); vegetation to be retained, proposed planting, schedules of plants, noting species, plant sizes and proposed numbers/planting densities where appropriate;
- (c) existing vegetation to be retained together with measures for its

protection during the course of construction.

- d)
- e) An indicative Landscaping Plan;
- f) Information with regard to the long-term maintenance and management; Applications for full planning permission (apart from change of use) should preferably be accompanied by a fully detailed scheme, as above; there is a minimum requirement for proposals to indicate the intended landscape structure, which should be cross-referenced with the Design and Access statement (if one is required);

It may be necessary to provide full details of the proposed landscaping scheme at the time of submission (species to be planted, numbers, spacings, size, seeding mix etc) although in appropriate cases these details could be required under the terms of a condition of a planning permission.

The Landscape Scheme should also explain the contribution that it makes to biodiversity and green infrastructure and the practicalities of long-term management.

BS 3936-1:1992 Nursery stock. Specification for trees and shrubs is a key reference for the specification of trees and shrubs for use in landscaping schemes.

BS 8545:2014 Trees: from nursery to independence in the landscape – Recommendations is a useful reference for the creation of successful planting schemes.

Planning Policy Drivers and related guidance:

The National Planning Policy Framework 2019 paragraphs 170, 172 and
 173

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

 National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste

# Lawful Development Certificate Supporting Information

These are certificates of lawfulness for either a proposed use or operation/development or an existing use or operation/development. This type of application can also be one where condition(s) on a planning consent have not been complied with and you are wishing to regularise the situation. The burden of proof is firmly with the applicant, and therefore sufficient and precise information should be provided. We will need information specifying the land in question, describing the use, operations or other matter in question and stating under which paragraph of either section 191(1) or 192(1) of the Town and Country Planning Act 1990 the application is being made.

You will need to provide evidence to support your application so that, in the balance of probabilities, your application can be proven. It is important that you collate as much evidence as possible to support your application, and

copies of any documents; affidavits etc. can be useful in such cases. You will need to tell us about the use of the land at the time of the application (or, when the land is not in use at the date, the purpose for which it was last used). You will need to provide a statement detailing your interest in the land, the name and address of any other person known to you to have an interest in the land and whether you have notified any such person. If your application relates to a certificate of lawfulness of an existing operation or use, you will need to tell us the date on which the use, operations or other matter in question began or, in the case of operations carried out without planning permission, the date on which operations were substantially completed. If your application is for a use or operation which has not yet commenced, you will need to give reasons for why you believe the use or operation as described in the application is lawful and should be granted a certificate. In the case of applying where a development has taken place without complying with any condition or limitation, you will need to provide sufficient details/evidence to support your claim.

Planning Policy Drivers and related guidance:

Town and Country Planning Act 1990

http://www.legislation.gov.uk/ukpga/1990/8/contents

# **Outdoor Lighting Scheme (including a light pollution assessment)**

Where external lighting would be provided or made necessary by a development, it should be accompanied by details of external lighting, including the proposed hours when the lighting would be switched on.

The applicant will need to ensure they have taken all measures to ensure to direct light downwards within the boundaries of the site. The luminaires that are proposed should have a horizontal cut-off which acts to minimise light spillage upwards.

These details provided should include the type of lighting (manufacturer), the light source (i.e. high pressure sodium; metal Halide, LED's), the colour temperature (in Kelvin), details of the lantern mounting height above ground and the power rating of the fittings, the proposed hours of use of the lighting (including the means of control over the hours of illumination), beam angles, drawings/lighting calculations to demonstrate the spread of the light and the means of ensuring that the light does not extend beyond the site to the detriment of the amenity. The fundamental aspect of the lighting scheme is to illuminate the specific area and to minimise light spill into the surrounding environment so as to not create unacceptable disturbance on neighbouring properties or undue glare onto the surrounding road network.

N.B Notwithstanding this, should any future issue arise from complaints, the Highway Authority is able to request shields or baffles to be fitted at the developer/owners expense and/or the geometry of the light pattern to be altered to further control the direction of light emitted and reduce the impact within the surrounding area.

The assessment of potential impact should include the impact on any sensitive biodiversity feature, landscape and dark-skies where relevant. Areas of Outstanding Natural Beauty (AONB) including their setting are considered particularly sensitive to light pollution.

Additional related guidance:

Guidance for the reduction of obtrusive light:
<a href="https://www.theilp.org.uk/documents/obtrusive-light/">https://www.theilp.org.uk/documents/obtrusive-light/</a>

https://www.theilp.org.uk/documents/a-review-of-the-impact-of-artificial-light-on-invertebrates/

https://www.theilp.org.uk/documents/guidance-note-8-bats-and-artificial-lighting/

- NightBlight mapping by the Campaign to Protect Rural England (CPRE):
   https://www.nightblight.cpre.org.uk/index.php
- Cotwolds Dark Skies & Artificial Light Position Statement (March 2019)
   https://www.cotswoldsaonb.org.uk/our-landscape/position-statements-2/

Planning Policy Drivers and related guidance:

 The National Planning Policy Framework February 2019 paragraphs 172, 180

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

 National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste

## Noise impact assessment

Application proposals that raise issues of disturbance to the locality due to the noise they will generate, including to wildlife, or are considered to be a noise sensitive developments that need protection themselves, should be supported by a Noise Impact Assessment prepared by a suitably qualified acoustician. The statement should normally include the existing ambient noise climate and a survey of both pedestrian and vehicular numbers in and around the premises; assessment of the existing and future noise climate due to the proposed development, indicating any increase in predicted noise levels; assessment of the existing and predicted number of events and their size and scale and finally details of management procedures to reduce the impact of the premises' operation on the locality, including noise from incoming and outgoing vehicles. Developments that may require sound insulation of a building to contain the noise generated within it need to be accompanied by these details. Proper sound insulation can assist to minimise the disturbance experienced by other properties in the area of a proposal. Where development generates no significant noise a technical statement is not required but a statement to that effect in the application's supporting statement may provide sufficient assessment.

This should include consideration of tranquility where relevant. This may be in association with the landscape assessment.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs 170, 180, 205
- National Planning Practice Guidance <a href="https://www.gov.uk/guidance/noise--2">https://www.gov.uk/guidance/noise--2</a>
- National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste

# Open Space/playing field assessment

For development within open spaces or which may result in the loss of an existing playing field, application proposals must be accompanied by plans showing any areas of existing or proposed open space or playing field land within or adjoining the application site. In the absence of a robust and up-to-date assessment by a local authority, an applicant may seek to demonstrate through an independent assessment that the land or buildings are surplus to local requirements and any such evidence, including a needs assessment, must accompany the planning application. Developers will need to consult the local community to demonstrate that their proposals are widely supported by them. Where there would be a loss of playing field, Sport England must be consulted.

Where there is a loss of a playing field details should be provided of the area in hectares of playing field to be lost and area in hectares of replacement playing field land. Plans should be provided to show the locations of these areas. Where replacement playing field provision is proposed details should be provided to compare the quality, accessibility to users and management arrangements.

In respect of a justification to demonstrate that a proposed new playing field facility will be of sufficient benefit to the development of sport as to outweigh the loss of an existing playing field, the following information is likely to be required;

- -How the development fits with any existing playing field or school sports provision plan
- Details of existing and proposed management, maintenance and funding of sports facilities
- Details of any community use
- Technical details including surfacing, fencing, floodlighting and access
- Details of the proposed sports to be played on the new facility and the level to which they would be played

Planning Policy Drivers and related guidance:

The National Planning Policy Framework February 2019 paragraphs 96,

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

# **Parking Provision**

Most applications will be required to provide details of existing and proposed parking provision and access arrangements. These details can be shown on the site plan. An assessment of the parking requirements of the proposal and how that provision would be accommodated should be provided. The plan should, where necessary, provide details of the existing parking provision and how the requirements of the new development relate to it. Temporary arrangements during construction for construction workers and materials delivery and storage should also be considered, especially in residential areas where car parking is limited.

Parking details should include the location of proposed car, cycle, scooter and disabled parking to be provided on site.

For minerals and waste sites, the parking details should separately show the location of operational parking, staff parking and visitor parking.

Planning Policy Drivers and related guidance:

 The National Planning Policy Framework February 2019 paragraphs 105 and 106

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

# **Photographs and Photomontages**

These can provide useful background information and can help to show how large developments can be satisfactorily integrated within the landscape or street scene. To provide context to a proposal photomontages can be useful for larger applications such as for new school buildings, major mineral or waste developments including landscaping to demonstrate the appearance of a building or area in its current state and photomontages to demonstrate the change. For example, this method could demonstrate the form of a quarry restoration scheme and how it would assimilate into the surrounding landscape. Computer generated images may also be helpful.

## **Rights of Way**

Where a public right of way is affected by proposed development, even temporarily during construction phases, advice should be sought from the County Council's Rights of Way officer as soon as possible to ensure appropriate procedure for stopping up or diversion of the right of way are followed and what alternative arrangements can be made. The route of all rights of way should be shown on drawings.

Rights of Way in Oxfordshire can be viewed on the website: <a href="https://www.oxfordshire.gov.uk/countrysidemap/">https://www.oxfordshire.gov.uk/countrysidemap/</a>
<a href="https://www.oxfordshire.gov.uk/cms/content/definitive-map-and-statement-online">https://www.oxfordshire.gov.uk/cms/content/definitive-map-and-statement-online</a>

Planning Policy Drivers and related guidance:

The National Planning Policy Framework February 2019 paragraph

# **Statement of Community Involvement**

All applications set out in the table below should be supported by a statement setting out how the applicant has complied with the requirements for pre-application consultation set out in the County Council's adopted statement of community involvement and demonstrating that the views of the local community have been sought and taken into account in the formulation of development proposals.

Waste Applications	Applications for disposal of
	degradable wastes with a
	capacity of 500,000 tonnes or
	more and/or a disposal life of 10
	years or more;

	<ul> <li>Applications for a waste processing plant having a throughput of 100,000 tpa or more;</li> <li>Applications for the disposal to land of air pollution control residues (APCRs) from the incineration of waste for periods in excess of five years;</li> <li>Applications for new developments;</li> </ul>
Mineral Applications	<ul> <li>Application for a new quarry;</li> <li>Applications for extensions of existing quarries of 25 hectares and/or with a production capacity of 250,000 tpa or more;</li> </ul>
Other Applications	<ul> <li>School or other education establishment with six classes per year group on a greenfield site and with significant transport implications;</li> <li>Road proposal with a length of 2km or more in an urban environment or a length of 5km or more in a rural environment and all bypasses.</li> </ul>

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs 39 -46
- The Revised Oxfordshire Statement of Community Involvement 2019 –

Xx Link to be provided following publication

# **Supporting Planning Statement**

All full planning applications for new development should be accompanied by a Supporting Planning Statement. Information will include additional detail to that set out in the application form and any additional supporting information including an assessment of how the proposed development accords with policies in the development plan, supplementary planning document(s), masterplans or development briefs, national policy and guidance and any other technical guidance which may be of relevance. Justification for any departure from policy should be provided, including very special circumstances for the development if it is contrary to Green Belt policies must be provided.

Unless otherwise more appropriately provided separately as a requirement of

this List of validation requirements, for mineral and waste management applications, the supporting planning statement should also include:

- Description of the site including any designations;
- Planning history including relevant planning permission reference numbers:
- Reason for the location proposed and what other options and/or locations were considered;
- The type of mineral(s) to be extracted;
- Quantities in tonnes of mineral(s) to be extracted;
- Maximum depth of extraction;
- The projected source and type of waste including the percentage by geographical area;
- How the waste will be treated/handled;
- Capacity of the site:
- Quantity of waste to be disposed of/treated/handled in both tonnes and cubic metres;
- Any ancillary operations for the process of recycling, recovery or pretreatment of the waste on site;
- Proposed hours of operation;
- Proposed maximum daily vehicle movements;
- Details of all plant and equipment;
- Details of external lighting;
- Site preparation work details;
- Method of working;
- Timescale of the development;
- Soil handling strategy details;
- Proposals for managing dust and litter;
- Predicted noise levels and measures to be taken for their control;
- Proposals for preventing the deposit of mud and debris on the highway;
- Proposals for controlling vermin and birds;
- Existing, pre-settlement and post-settlement levels for mineral extraction/landfill/land-raising sites including depths/heights;
- Proposals for controlling landfill gas and leachate at landfill sites including details of gas flaring and power generation;
- An assessment of likely odour issues and measures to control odour;
- A phasing programme of extraction, waste disposal and restoration in the case of mineral extraction and landfill sites:
- Details of surface water management measures:
- Information with regard to existing and projected staff and visitor numbers;
- Existing and proposed parking arrangements;
- Details of impacts to the amenity of local residents and those using the site and adjacent area and any roads or other transport corridors to be used to serve the site and the measures to be taken if necessary to ameliorate them including the provision of buffer zones;
- Restoration and aftercare proposals including details of the financial provision to be made for this.

For non-mineral and waste developments, the supporting planning statement should include:

- Description of the site including any designations;
- Planning history including relevant planning permission reference numbers;
- Reason for the location proposed and what other options and/or locations

- were considered;
- Information with regard to existing and projected pupil numbers for education developments;
- Information with regard to existing and projected staff and visitor numbers;
- Existing and proposed parking arrangements;
- Details of impacts to the amenity of local residents and those using the site
  and adjacent area and any roads or other transport corridors to be used to
  serve the site and the measures to be taken if necessary to ameliorate
  them;

Planning Policy Drivers and related guidance:

National Planning Practice Guidance - Validation Requirements <a href="https://www.gov.uk/guidance/making-an-application">https://www.gov.uk/guidance/making-an-application</a>

# **Sustainability Statement**

A sustainability statement will be required for all operational developments, detailing and demonstrating how energy minimisation and carbon dioxide reduction will be incorporated into the development. The statement should also show how the development will be adapted to severe weather events.

All non-domestic built development will be encouraged to achieve Building Research Establishment Environmental Assessment Method (BREEAM) rating 'Very Good' as a minimum standard and also obtain an award under the Civil Engineering Environmental Quality Assessment and Award (CEEQUAL). BREEAM for buildings sets the standard for best practice in sustainable design and has become the de facto measure used to describe a building's environmental performance. Standards have been developed for the most popular building types e.g. offices, retail developments, education, healthcare buildings etc. BREEAM assessment is a funding requirement for major new school and refurbishment projects. CEEQUAL is the assessment and award scheme for improving sustainability in civil engineering and the public realm. CEEQUAL is applicable to development schemes for waste water treatment, waste transfer and recycling facilities, sports stadia, roads and bridges that would be determined by the County Council usually valued at over The scheme assesses performance across 12 areas of £1 million. environmental and social concern, rewarding designers and constructors which go beyond the legal and environmental minima to achieve environmental and social standards.

The sustainability appraisal must outline the elements of the scheme that address the full range of sustainable development issues. This should include an assessment of the following:

- Water use particularly where water scarcity is a recognized issue locally, and for major development involving surface or groundwater abstraction;
- Energy efficiency and generation A statement should specify what the predicted energy demand is for the development, explain how the Development would meet current energy efficiency standards and maximise the use of sustainable/renewable sources, for example CHP and local heat networks, photovoltaic panels, biomass and air/ground source heating, aiming to reduce CO<sup>2</sup> emissions. The statement should also consider the options for energy collaboration with adjoining sites –

- particularly if a heat network is appropriate;
- Sustainable construction utilising recycled and locally-sourced, building and landscaping materials, details of the origin of natural materials to be used in construction should be provided;
- Waste management promoting resource efficiency;
- Adapting to severe weather events, not dealt with elsewhere, for example heat waves and locally intense rain storms.
- Assessment of the effects on green infrastructure, for example including
  the effects on the provision of accessible natural green space, the role of
  sustainable drainage systems, the incorporation of green features in built
  design, and the role of green infrastructure in mitigating and adapting to
  future climate change.

Planning Policy Drivers and related guidance:

 The National Planning Policy Framework February 2019 paragraphs 131, 149-154

CEEQUAL website: <a href="https://www.ceequal.com">https://www.ceequal.com</a>
BREEAM website: <a href="http://www.breeam.org">http://www.breeam.org</a>

#### **Transport Statement/Assessment**

Where developments (during and after their construction) will have transport implications, Transport Statements/Assessments should be prepared.

The coverage and detail of the Transport Statement/Assessment should reflect the scale of development and the extent of the transport implications of the proposal.

For small schemes, the Transport Statement should simply outline the transport aspects of the application, including parking provision.

For larger scale proposals, where there is the potential for a high number of vehicle movements or an impact on sensitive areas on the local highway network, a Transport Assessment should be provided. This must demonstrate how the traffic impact will be mitigated and managed and illustrate accessibility to the site by all modes and the likely split of types of journey to and from the site. It should also give details of proposed measures to improve access by public transport, walking and cycling, to reduce the need for parking associated with the proposal and to mitigate transport impacts. These assessments enable local planning authorities better to assess the application and provide a basis for discussion on details of the scheme, such as the level of parking, the siting of buildings and entrances, and the need for further measures to improve access arrangements to the site. Details of any firm proposals to improve the access to a site (particularly where included in the local transport plan) should be provided and show adequate visibility splays for the highest average 85th percentile speed of the major road and be designed given due consideration to the location of the proposed development, speed of the road and the character of area. Details of proposals for access/egress and the management of construction traffic should be provided.

Transport Statement / Transport Assessments should show how the proposed development can be accessed by alternatives to single occupant car use, for example walking, cycling and the use of public transport.

Vehicle swept path analysis should be provided to show how large vehicles would enter, move around and exit the site. For built development this should include details for refuse vehicles and delivery vehicles visiting the site, where it would not be safe for these to dwell on the highway. It should be shown how vehicles would access and egress the site in a forward gear and turn around within the site.

Typical vehicle dimensions for swept path analysis:

- Delivery Vehicle 7.5 tonne box van 8.0 metres in length
- Minerals and waste vehicles 8 wheeled rigid tipper lorry (9.6 metres in length), articulated tipper (14.2 metres in length), skip loader 7.8 metres (length) x 2.5 metres (width)
- Refuse collection vehicle 11.6m length, 2.6m wide, 3.2m high, 2.5m track width

Routeing - Where there are a high number of large goods vehicle movements predicted from a proposed waste and minerals site a suitable route for large goods vehicles to the nearest strategic highway will need to be mapped by the applicant using the Oxfordshire Freight Gateway mapping tool: <a href="https://www.oxfordshire.gov.uk/cms/content/oxfordshire-freight-gateway">https://www.oxfordshire.gov.uk/cms/content/oxfordshire-freight-gateway</a>

A routeing agreement may be required to secure compliance with proposed routes.

Details of proposals for wheel washing facilities should be provided for sites which would have the potential to cause mud or dust to be deposited on the public highway. Suitable means of disposing waste water without discharging onto the highway will also be required.

The Council's guidance on Transport Assessments/ Transport Statements/ Travel Plans and Travel Statements which is available on the website: <a href="https://www.oxfordshire.gov.uk/cms/content/transport-development-control-tdc">https://www.oxfordshire.gov.uk/cms/content/transport-development-control-tdc</a>

Drawings of proposed highway works should be supplied as separate drawings, in separate files, as well as referenced in the Transport Assessment.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs 108-111
- Planning Practice Guidance on Travel Plans, Transport Assessments and Statements: <a href="https://www.gov.uk/guidance/travel-plans-transport-assessments-and-statements">https://www.gov.uk/guidance/travel-plans-transport-assessments-and-statements</a>
- National Planning Policy for Waste, Appendix B

https://www.gov.uk/government/publications/national-planning-policy-for-waste

#### Travel Plan / Travel Plan Statement

For development which would lead to new or increased employment and/or new or additional visitor footfall, a draft travel plan should outline the way in which the transport implications of the development are going to be managed in order to ensure the minimum environmental, social and economic impacts, and a strategy for implementation. For example, in terms of a school it could indicate that any new pupil levels generated by the new proposal will be brought on to the site either by public transport or by hired coaches. In terms of a mineral or waste application this will vary as the applicant will have to indicate the amount of traffic movements that will occur during operating hours. The draft must identify a plan coordinator, the management arrangements for the plan – e.g. a steering group and the development timetable. The strategy could also include activities for marketing and promoting the plan to occupiers, users, visitors and residents of the site.

Guidance on Travel Plans can be found on the website:

https://www.oxfordshire.gov.uk/cms/sites/default/files/folders/documents/roadsand transport/transportpoliciesandplans/newdevelopments/TravelAssessmentsandTra velPlans.pdf

This includes guidance on when a Travel Plan or Travel Plan Statement will be required. A Travel Plan is always needed for a new school.

Planning Policy Drivers and related guidance:

The National Planning Policy Framework February 2019 paragraph

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/6077/2116950.pdf

https://www.gov.uk/guidance/travel-plans-transport-assessments-and-statements

# Tree/hedgerow survey / Arboricultural Statement

Where trees/hedges are present within or around an application site, the potential impact of development on existing trees/hedges both on the site or adjacent to it needs to be taken into account. Survey information should be provided in a form consistent with *BS5837:2012: Trees in relation to design, demolition and construction*. Typically this would include a tree survey that includes the positions of all individual trees of 75mm stem diameter measured at a height of 1.5 metres within the site and adjoining, overhanging or within the potential root impact zone of the site boundaries; the canopy spread of such trees; all shrub masses and hedges; and any other relevant features of the site such as banks, slopes, walls and fences and water features. Details of the trees should be recorded and the trees should be categorised in accordance with the tree method set out in *BS 5837:2012*.

An arboricultural impact assessment <u>must</u> be undertaken and submitted using the tree survey data to determine the impact of development on the tree population. This should include but not necessarily be limited to the identification of trees to be removed, retained and any tree work required to

implement the development as indicated in *BS5837:2012*. Consideration should be given to the impact of existing and future underground and overhead services on trees, any construction impacts e.g. changes in ground levels and surfaces, and impacts that may arise from the future use of the site e.g. shading. Where a significant change to the tree cover is likely a more detailed assessment of the change in the natural capital value of the tree population (such as through i-tree eco) may be required. Ancient and veteran trees and the impacts upon them should be noted specifically.

Where there are trees to be retained within a development an arboricultural method statement <u>must</u> be provided. This will identify measures such as the location and nature of tree protection fencing, specific construction techniques where work is required close to trees, the protection of soils for future tree planting, the construction method for the installation of services etc. *BS5837:2012* provides further information on this.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework 2019 paragraphs 170 and 175
- BS5837:2012 Trees in relation to design, demolition and construction
   Recommendations
- Hedgerow Regulations 1997
- Trees in Hard Landscapes A Guide for Delivery, Trees and Design Action Group, Sept 2014

# **Unstable Land Assessment**

If the proposal is on or adjoining land which is known or suspected to be unstable or potentially unstable this Statement should include reference to this issue. This may include the effects of both natural and man-made underground cavities; unstable slopes; and ground compression. The Unstable Land Assessment will need to take account of the potential hazard that such instability could create both to the development itself and to the neighbouring area.

The assessment should include the physical capability of the land to be developed; possible adverse effects of instability on the development; possible adverse effects of the development on the stability of adjoining land; possible effects on local amenities and conservation interests of the development and of any remedial or precautionary measures proposed.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraphs 170, 178, 179
- National Planning Practice Guidance Land Stability

# http://planningguidance.planningportal.gov.uk/guidance/land-stability/

 National Planning Policy for Waste, Appendix B https://www.gov.uk/government/publications/national-planning-policy-for-waste

#### Ventilation/Extraction Statement

Details of the position and design of any ventilation and extraction equipment (particularly those to be located at roof level) will be required to accompany all applications where they are proposed. Any application within 100 metres of a landfill site should include details of how landfill gas is to be dealt with.

In developments where an odour nuisance may be an issue (e.g. waste disposal operations), this should include an assessment of the effects on potential receptors and details of measures to mitigate odour at source must be included with any planning application.

#### **Waste Minimisation Statement**

Where proposed new development involves the demolition or excavation of the site, proposals should be supported by a plan which identifies the volume and type of material to be removed from the site and explores the opportunities for the recovery, reuse of material on the site and how any offsite disposal of waste material will be managed.

Planning Policy Drivers and related guidance:

- The National Planning Policy Framework February 2019 paragraph 8
- National Planning Policy for Waste

# **Informative:**

# **Environmental Statement**

The Town and Country Planning (Environmental Impact Assessment) Regulations 2017 require a developer to prepare an Environmental Statement (required for Schedule 1 projects and for some Schedule 2 projects as stated in the Regulations) to enable the Planning Authority to give proper consideration to the likely environmental effects of a proposed development. The Environmental Statement accompanies but does not in itself form part of the planning A Screening Opinion can be obtained from the Council as to whether the development proposed comprises development falling within the scope of the Regulations and whether or not an Environmental Statement will be required. The Regulations provide a checklist of matters to be considered for inclusion in the Environmental Statement and require the developer to describe the likely significant effects of a development on the environment and to set out the proposed mitigation measures. You are strongly advised to seek Planning Officer advice on this issue prior to making your application and to seek a formal Scoping Opinion of the information to be included in the Environmental Statement.

Planning Policy Drivers and related guidance:

• The Town and Country Planning (Environmental Impact Assessment) Regulations 2017

# http://www.legislation.gov.uk/uksi/2017/571/made

• National Planning Practice Guidance – Environmental Impact Assessment <a href="http://planningguidance.planningportal.gov.uk/blog/guidance/environment\_al-impact-assessment/">http://planningguidance.planningportal.gov.uk/blog/guidance/environment\_al-impact-assessment/</a>

# PART THREE - VALIDATION CHECKLISTS

# 3.1 MINERALS DEVELOPMENT

Information required	When required	Tick	Reason why provided or not provided
National Informat	tion Requirements	<u>                                       </u>	
Application Form	All applications.		
Correct application fee	All applications.		
Location Plan	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		
Site Plan	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		
Ownership/Agricultural Holding Certificates and Notices	All applications other than detailed submissions pursuant to planning conditions, non-material amendment applications, Certificates for Existing or Proposed Lawful Development.		
Design and Access Statements	Those planning applications as set out in the detailed local requirements above.		
Local Information	Requirements		
Other Plans	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		
Aftercare/ restoration scheme	All planning applications for mineral extraction		

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Air Quality Impact Assessment	All planning applications when the site is within or adjoining an Air Quality Management Area or when a sensitive ecological site will be affected, or when there would be a significant increase in traffic generation.	
Birdstrike Risk Management Plan	All planning applications that may have an adverse safety impact on aircraft through the creation of standing water and new woodland within 13 km of aerodromes.	
Biodiversity and geological conservation report	Those planning applications when there is a potential impact on designated sites, protected species or habitats, or where a biodiversity survey is required.	
Borehole or Trial Pit Analysis	All planning applications for the extraction of mineral deposits.	
Daylight/Sunlight Assessment	All planning applications where there is a potential adverse impact upon the current levels of sunlight /daylighting enjoyed by adjoining properties and buildings.	
Draft Planning Obligations also known as Legal Agreement / Section 106)	<ul> <li>Where a planning application that may be unacceptable in planning terms may be made acceptable through the use of planning obligations, a statement with proposed Heads of Terms for an agreement may be submitted as part of the application.</li> <li>Where Local Development Framework or Local Plan policies give details of likely agreements a statement of proposed Heads of Terms may be submitted as part of the application.</li> </ul>	
Drainage Strategy	Applications for development of a new site, or amended site layout	
Dust Assessment	For all planning applications for minerals developments which generate dust.	

Flood Risk Assessment	All planning applications for:  • Development including change of use of 1 hectare or greater or 1000 m2 of gross floor space or greater, in Flood Zone 1 and all proposals, including change of use, for Development located in Flood Zones 2 and 3.  • Where development proposals may affect watercourses, flood defences or off-site flood mitigation.  • Where the proposed development may be subject to other sources of flooding.  • Where the Environment Agency, Internal Drainage Board and/or other bodies have indicated that there may be drainage problems or concerns that need addressing.	
Foul Sewage and Utilities Assessment	All planning applications where the proposed development results in any changes or replacement to an existing system, or the creation of a new system, or where the development will require connection to existing utility services including electricity, gas, telecommunications and water supply.	
Green Belt Statement	All planning applications for inappropriate development in the Green Belt.	
Heritage and Archaeological Statement	For all planning applications where a proposal is likely to affect or impact on a nationally important site including those designated as a Scheduled Ancient Monument; Historic Park or Garden; Registered Battlefield; Listed Building/ structure or Conservation Area, or the setting of any of these features whether designated or not;  Where known archaeological features or remains; historic buildings or structures or historic landscapes are either on or adjacent to the application site, or their setting may be affected;  Where a proposal involves the disturbance of ground or raising of ground levels where there may be archaeological remains, as may be specified in preapplication advice; Where significant infrastructure works are proposed, where there may be archaeological remains, as may be specified in pre-application advice; Where a hedge is to be removed or moved or would be affected by the proposal; and Where a proposal involves substantial demolition of an existing building.	
Hydrological Assessment	Mineral planning applications where dewatering is proposed or proposals affect the water table.	
Land contamination assessment	All planning applications where there is reason to suspect contamination of the application site or neighbouring land due to previous operations e.g. the existence of former industrial uses, the presence of former landfill sites, the presence of former mineral tips.	

Landscape and Visual Impact Assessment	Mineral applications within an Area of Outstanding Natural Beauty (AONB), or within the setting of an AONB where they might adversely affect the natural beauty or enjoyment of the AONB.  Any major application that is considered EIA development or which has the potential to cause a major landscape and/or visual impacts due to its scale, nature and/or location.  Minor applications will have to be judged on a case by case basis and might not require a full LVIA but another form of assessment such as a landscape appraisal. Applicants are encouraged to seek pre-application advice to determine the level of assessment required.	
Landscaping scheme	Any planning application where the proposal contains, or is likely to require, some form of landscaping to make it acceptable in planning terms. Some form of landscaping is expected for most application types.	
Lawful Development Certificate Supporting Information	When an application is made for a Lawful Development Certificate	
Lighting scheme	Where planning applications propose external lighting.	
Noise impact assessment	All planning applications where the proposal is likely to generate a noise level above background noise levels which may have a detrimental impact on the nearest noise sensitive property or other development or use of land (including nature conservation).	
Open space/playing field assessment	Planning applications where the site is within or adjoining an area of designated or proposed open space/playing fields, common land or village greens. Any application involving the loss or provision of playing fields should be supported by evidence of a district wide Playing Pitch Strategy.	
Parking provision	All planning applications involving the provision of parking space.	
Photographs and/or Photomontages	All planning applications where photographs or photomontages would enable assessment of characteristics of the site and its setting or as supporting evidence in the case of applications for Certificates for existing use or development.	
Rights of Way	All planning applications where a public right of way traverses or passes close by the application site or involves the temporary diversion or closure of part of a route in order to construct the development.	
Statement of Community	All planning applications which meet the definition set out in the section.	

Supporting Planning Statement	All planning applications other than section 73/73A applications should include this supporting document.	
Sustainability/Climate Change Appraisal	All planning applications.	
Transport Statement/ Assessment	All planning applications where there is likely to be a significant impact upon the existing transport network, and/or where additional parking is proposed.	
Travel Plan	All planning applications that have the potential for significant traffic and travel-related implications.	
Tree/Hedgerow Survey / Arboricultural Statement	All planning applications where there are trees within, on the boundary or in close proximity to the site that could be affected by the proposed development.	
Unstable Land Assessment	All planning applications where the proposal is on or adjoining land which is known or suspected to be unstable through the effects of natural and manmade cavities or unstable slopes	
Ventilation/Extraction Statement	Where substantial ventilation or extraction equipment is proposed and there are properties in the immediate vicinity of the outlet that could be affected by noise or odours.	
Waste Minimisation Statement	All planning applications where waste will be generated on site.	

# **3.2 WASTE DEVELOPMENT**

Information required	When required	Tick	Reason why provided or not provided
National Informa	tion Requirements		
Application Form	All applications.		
Correct application fee	All applications.		
Location Plan	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		

Site Plan	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.	
Ownership/Agricultural Holding Certificates and Notices	All applications other than detailed submissions pursuant to planning conditions, non-material amendment applications, Certificates for Existing or Proposed Lawful Development.	
Design and Access Statements	Those planning applications as set out in the detailed local requirements above.	
Local Information	Requirements	
Other Plans	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.	
Aftercare/resto ration scheme	All planning applications for mineral extraction, landfill or land-raising proposals.	
Air Quality Impact Assessment	All planning applications when the site is within or adjoining an Air Quality Management Area or when a sensitive ecological site will be affected, or when there would be a significant increase in traffic generation.	
Birdstrike Risk Management Plan	All planning applications that may have an adverse safety impact on aircraft through the creation of standing water and new woodland within 13 km of aerodromes.	
Biodiversity and geological conservation report	Those planning applications when there is a potential impact on designated sites, protected species or habitats, or where a biodiversity survey is required.	
Bioaerosol Assessment	Those planning applications when the proposal involves the storage or treatment of biodegradable waste material and is within 250 m of residential properties or other sensitive locations.	
Daylight/Sunlight Assessment	All planning applications where there is a potential adverse impact upon the current levels of sunlight /daylighting enjoyed by adjoining properties and buildings.	

Draft Planning Obligations also known as Legal Agreement / Section 106)	Where a planning application that may be unacceptable in planning terms may be made acceptable through the use of planning obligations, a statement with proposed Heads of Terms for an agreement may be submitted as part of the application.      Where Local Development Framework or Local Plan policies give details of likely agreements a statement of proposed Heads of Terms may be submitted as part of the application.	
Drainage Strategy	Applications for development of a new site, or amended site layout	
Dust Assessment	For all planning applications for waste developments which generate dust	
Flood Risk Assessment	All planning applications for:  • Development including change of use of 1 hectare or greater or 1000 m2 of gross floor space or greater, in Flood Zone 1 and all proposals, including change of use, for Development located in Flood Zones 2 and 3.  • Where development proposals may affect watercourses, flood defences or off-site flood mitigation.  • Where the proposed development may be subject to other sources of flooding.  • Where the Environment Agency, Internal Drainage Board and/or other bodies have indicated that there may be drainage problems or concerns that need addressing.	
Foul Sewage and Utilities Assessment	All planning applications where the proposed development results in any changes or replacement to an existing system, or the creation of a new system, or where the development will require connection to existing utility services including electricity, gas, telecommunications and water supply.	
Green Belt Statement	All planning applications for inappropriate development in the Green Belt.	

<u></u>	,	
Heritage and Archaeological Statement	For all planning applications where a proposal is likely to affect or impact on a nationally important site including those designated as a Scheduled Ancient Monument; Historic Park or Garden; Registered Battlefield; Listed Building/ structure or Conservation Area, or the setting of any of these features whether designated or not; Where known archaeological features or remains; historic buildings or structures or historic landscapes are either on or adjacent to the application site, or their setting may be affected; Where a proposal involves the disturbance of ground or raising of ground levels where there may be archaeological remains, as may be specified in preapplication advice; Where significant infrastructure works are proposed, where there may be archaeological remains, as may be specified in pre-application advice; Where a hedge is to be removed or moved or would be affected by the proposal; and Where a proposal involves substantial demolition of an existing building.	
Hydrological Assessment	Waste planning applications where proposals affect the water table.	
Land contamination assessment	All planning applications where there is reason to suspect contamination of the application site or neighbouring land due to previous operations e.g. the existence of former industrial uses, the presence of former landfill sites, the presence of former mineral tips.	
Landscape and Visual Impact Assessment (LVIA)	Waste applications within an Area of Outstanding Natural Beauty (AONB), or within the setting of an AONB where proposed development might adversely affect the natural beauty or enjoyment of the AONB.  Any major application that is considered EIA development or which has the potential to cause a major landscape and/or visual impact due to its scale, nature and/or location.  Minor applications will have to be judged on a case by case basis and might not require a full LVIA but another form of assessment such as a landscape appraisal. Applicants are encouraged to seek pre-application advice to determine the level of assessment required.	
Landscaping scheme	Any planning application where the proposal contains, or is likely to require, some form of landscaping to make it acceptable in planning terms.  Some form of landscaping is expected for most application types.	
Lawful Development Certificate Supporting Information	When an application is made for a Lawful Development Certificate	

# PN6

Lighting scheme	Where planning applications propose external lighting.	
Noise impact assessment	All planning applications where the proposal is likely to generate a noise level above background noise levels which may have a detrimental impact on the nearest noise sensitive property or other development or use of land (including nature conservation).	
Open space/playing field assessment	Planning applications where the site is within or adjoining an area of designated or proposed open space/playing fields, common land or village greens. Any application involving the loss or provision of playing fields should be supported by evidence of a district wide Playing Pitch Strategy.	
Parking provision	All planning applications involving the provision of parking space.	
Photographs and/or Photomontages	All planning applications where photographs or photomontages would enable assessment of characteristics of the site and its setting or as supporting evidence in the case of applications for Certificates for existing use or	
Rights of Way	All planning applications where a public right of way traverses or passes close by the application site or involves the temporary diversion or closure of part of a route in order to construct the development.	
Statement of Community Involvement	All planning applications.	
Supporting Planning Statement	All applications other than section 73/73A applications should include this supporting document.	
Sustainability/Climate Change Appraisal	All planning applications.	
Transport Statement/ Assessment	All planning applications where there is likely to be a significant impact upon the existing transport network, and/or where additional parking is proposed.	
Travel Plan	All planning applications that have the potential for significant traffic and travel-related implications.	
Tree/Hedgerow Survey / Arboricultural Statement	All planning applications where there are trees within, on the boundary or in close proximity to the site that could be affected by the proposed development.	
Unstable Land Assessment	All planning applications where the proposal is on or adjoining land which is known or suspected to be unstable through the effects of natural and manmade cavities or unstable slopes.	
Ventilation/Extraction Statement	Where substantial ventilation or extraction equipment is proposed and there are properties in the immediate vicinity of the outlet that could be affected by noise or odours.	

Waste Minimisation Statement	All planning applications where waste will be generated on site.	
Otatoment		

# 3.3 COUNTY DEVELOPMENT (REGULATION 3)

Information required	When required	Tick	Reason why provided or not provided
National Informat	tion Requirements		
Application Form	All applications.		
Correct application fee	All applications.		
Location Plan	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		
Site Plan	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		
Ownership/Agricultural Holding Certificates and Notices	All applications other than detailed submissions pursuant to planning conditions, non-material amendment applications, Certificates for Existing or Proposed Lawful Development.		
Design and Access Statements	Those planning applications as set out in the detailed local requirements above.		
Local Information	Requirements		
Other Plans	All applications other than Section 73/73A applications, for detailed submissions pursuant to planning conditions and non-material amendment applications.		
Aftercare/ restoration scheme	All planning applications for mineral extraction, landfill or land-raising proposals.		

Air Quality Impact Assessment	All planning applications when the site is within or adjoining an Air Quality Management Area or when a sensitive ecological site will be affected, or when there would be a significant increase in traffic generation.	
Birdstrike Risk Management Plan	All planning applications that may have an adverse safety impact on aircraft through the creation of standing water and new woodland within 13 km of aerodromes.	
Biodiversity and geological conservation report	Those planning applications when there is a potential impact on designated sites, protected species or habitats, or where a biodiversity survey is required.	
Bioaerosol Assessment	Those planning applications when the proposal involves the storage or treatment of biodegradable waste material and is within 250 m of residential properties or other sensitive locations.	
Borehole or Trial Pit Analysis	All planning applications for the extraction of mineral deposits.	
Daylight/Sunlight Assessment	All planning applications where there is a potential adverse impact upon the current levels of sunlight /daylighting enjoyed by adjoining properties and buildings.	
Draft Planning Obligations also known as Legal Agreement / Section 106)	Where a planning application that may be unacceptable in planning terms may be made acceptable through the use of planning obligations, a statement with proposed Heads of Terms for an agreement may be submitted as part of the application.      Where Local Development Framework or Local Plan policies give details of likely agreements a statement of proposed Heads of Terms may be submitted as part of the application.	
Drainage Strategy	Applications for development of a new site, or amended site layout	

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Dust Assessment	For all planning applications for developments which generate dust including major construction works.	
Flood Risk Assessment	All planning applications for:  • Development including change of use of 1 hectare or greater or 1000 m2 of gross floor space or greater, in Flood Zone 1 and all proposals, including change of use, for Development located in Flood Zones 2 and 3.  • Where development proposals may affect watercourses, flood defences or off-site flood mitigation.  • Where the proposed development may be subject to other sources of flooding.  • Where the Environment Agency, Internal Drainage Board and/or other bodies have indicated that there may be drainage problems or concerns that need addressing.	
Foul Sewage and Utilities Assessment	All planning applications where the proposed development results in any changes or replacement to an existing system, or the creation of a new system, or where the development will require connection to existing utility services including electricity, gas, telecommunications and water supply.	
Green Belt Statement	All planning applications for inappropriate development in the Green Belt.	
Heritage and Archaeological Statement	For all planning applications where a proposal is likely to affect or impact on a nationally important site including those designated as a Scheduled Ancient Monument; Historic Park or Garden; Registered Battlefield; Listed Building/ structure or Conservation Area, or the setting of any of these features whether designated or not; Where known archaeological features or remains; historic buildings or structures or historic landscapes are either on or adjacent to the application site, or their setting may be affected; Where a proposal involves the disturbance of ground or raising of ground levels where there may be archaeological remains, as may be specified in preapplication advice; Where significant infrastructure works are proposed, where there may be archaeological remains, as may be specified in pre-application advice; Where a hedge is to be removed or moved or would be affected by the proposal; and Where a proposal involves substantial demolition of an existing building.	
Hydrological Assessment	Planning applications proposals affect the water table.	

Land contamination assessment  Landscape and Visual Impact Assessment	All planning applications where there is reason to suspect contamination of the application site or neighbouring land due to previous operations e.g. the existence of former industrial uses, the presence of former landfill sites, the presence of former mineral tips.  All applications within an Area of Outstanding Natural Beauty (AONB), or within the setting of an AONB where it might adversely affect the natural beauty or enjoyment of the AONB.  Any major application that is considered EIA development or which has the potential to cause a major landscape and/or visual impacts due to its scale, nature and/or location.  Minor applications will have to be judged on a case by case basis and might not require a full LVIA but another form of assessment such as a landscape appraisal. Applicants are encouraged to seek pre-application advice to	
Landscaping scheme	determine the level of assessment required.  Any planning application where the proposal contains, or is likely to require, some form of landscaping to make it acceptable in planning terms.  Some form of landscaping is expected for most application types.	
Lawful Development Certificate Supporting Information	When an application is made for a Lawful Development Certificate	
Lighting scheme	Where planning applications propose external lighting.	
Noise impact assessment	All planning applications where the proposal is likely to generate a noise level above background noise levels which may have a detrimental impact on the nearest noise sensitive property or other development or use of land (including nature conservation).	
Open space/playing field assessment	Planning applications where the site is within or adjoining an area of designated or proposed open space/playing fields, common land or village greens. Any application involving the loss or provision of playing fields should be supported by evidence of a district wide Playing Pitch Strategy.	
Parking provision	All planning applications involving the provision of parking space.	
Photographs and/or Photomontages	All planning applications where photographs or photomontages would enable assessment of characteristics of the site and its setting or as supporting evidence in the case of applications for Certificates for existing use or development	

# PN6

Rights of Way	All planning applications where a public right of way traverses or passes close by the application site or involves the temporary diversion or closure of part of a route in order to construct the development.	
Statement of Community Involvement	All planning applications.	
Supporting Planning Statement	All applications should include this supporting document.	
Sustainability/ Climate Change Appraisal	All planning applications.	
Transport Statement/ Assessment	All applications where there is likely to be a significant impact upon the existing transport network, and/or where additional parking is proposed.	
Travel Plan	All planning applications that have the potential for significant traffic and travel- related implications.	
Tree/Hedgerow Survey / Arboricultural Statement	All planning applications where there are trees within, on the boundary or in close proximity to the site that could be affected by the proposed development.	
Unstable Land Assessment	All planning applications where the proposal is on or adjoining land which is known or suspected to be unstable through the effects of natural and manmade cavities, or unstable slopes.	
Ventilation/Extraction Statement	Where substantial ventilation or extraction equipment is proposed and there are properties in the immediate vicinity of the outlet that could be affected by noise or odours.	
Waste Minimisation Statement	All planning applications where waste will be generated on site.	

# **Appendices**

# Appendix 1 – County Planning Authority Requirements for Biodiversity and Geodiversity

# A. Surveys

Surveys are required to determine whether notable species, habitats or designated sites may be adversely affected by development. All surveys carried out should be assessed (see \_Assessment' below).

Surveys should be undertaken and prepared by competent persons with suitable ecological qualifications and experience and must be carried out at an appropriate time and month of the year, in suitable weather conditions and using nationally recognised survey guidelines/methods where available.

## **ECOLOGICAL SURVEY SEASONS**

Key: Optimal Survey Tin	Survey Time Possible Survey Time											
	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	ОСТ	NOV	DEC
Badgers												
Bats (Initial Survey)												
Bats (Hibernation Roosts)												
Bats (Summer Roosts)												
Bats (Activity Survey)												
Birds (Breeding)												
BIRDS (Over Wintering)												
Dormice												
Extended Phase 1 Survey				,								
Great–Crested Newts (Aquatic survey)												
Great–Crested Newts (Habitat assessment)												
Invertebrates												
Otters												
Reptiles												
Water Voles												
White-Clawed Crayfish												

|--|

A survey should be informed by the results of a search for ecological and/or geological data. The Thames Valley Environmental Records (TVERC) Thames Valley Environmental Records Centre (TVERC) is the Local Biological Records Centre (Email – tverc@oxfordshire.gov.uk or Tel. 01865 815451) who can search for the location of notable species and habitats/sites on or near to a development site. In addition see <a href="https://magic.defra.gov.uk/">https://magic.defra.gov.uk/</a> - a useful Natural England resource on the distribution of wildlife sites and habitats.

A survey must be to an appropriate level of scope and detail and must:

- •
- 1. What species / habitats are involved?
- 2. What is the population level, or habitat area, likely to be affected by the
- proposal?
- 3. What is the impact of the proposal on protected or notable species / priority habitat?
- 4. Is the impact necessary or acceptable?
- 5. What can be done to mitigate the impact?
- 6. Will a licence be required from Natural England?

All surveys must be undertaken to the standards in British Standard 42020 (Biodiversity – Code of Practice for Planning & Development, 2013)

General points to note regarding surveys are as follows:

- For certain species and habitats surveys can be carried out at any time of year, but for other species, particular times of year are required to give the most reliable results, as indicated in the diagram above
- Surveys conducted outside of optimal times (diagram above) may be unreliable. For certain species (e.g. Great Crested Newt) surveys over the winter period are unlikely to yield any useful information. Similarly negative results gained outside the optimal period should not be interpreted as absence of a species and further survey work may be required during the optimal survey season. This is especially important where existing surveys and records show the species has been found previously on site or in the surrounding area. An application may not be valid until survey information is gathered from an optimum time of year.
- Species surveys are also very weather dependent so it may be necessary to delay a survey or to carry out more than one survey if the weather is not suitable, e.g. heavy rain is not good for surveying for otters, as it washes away their spraint (droppings). Likewise bat surveys carried out in wet or cold weather may not yield accurate results.
- Absence of evidence of a species does not necessarily mean that the species is not there, nor that its habitat is not protected (e.g. a bat roost is protected whether any bats are present or not).

- Local Environmental Records Centres (see TVERC above) or species interest groups may have useful existing information and records.
- Competent ecologists should carry out ecological surveys. Where surveys involve disturbance, capture or handling of a protected species, then only a licensed person can undertake such surveys (e.g. issued by Natural England). Surveys should follow published national or local methodologies. More information on appropriate ecological survey methods can be found in *Guidance on Survey Methodology* published on the Internet by the Institute of Ecology and Environmental Management available at: <a href="www.cieem.net">www.cieem.net</a>. Further details on surveys can also be found on the Natural England website at: <a href="www.naturalengland.org.uk">www.naturalengland.org.uk</a>.

#### **B.** Assessments

Assessments should identify and describe potential development impacts likely to harm notable species, habitats, features or designated sites, (these should include both direct and indirect effects both during construction and afterwards). Please note that adverse effects should be avoided wherever possible and if unavoidable mitigated or as a last resort compensated. The type of assessment will depend on the scale and size of development and will usually be based on both desk and field surveys. The assessment should be carried out by a suitably qualified and experienced person and should include:

- Evaluation of the biodiversity/geodiversity importance of the site and surrounds
- Assessment of all direct and indirect impacts (including from noise, vibration, dust, pollution, dewatering & any other hydrological impacts) of the proposed development on biodiversity/geodiversity (including a summary of the shortterm and long-term impacts)
- How avoidance and/or mitigation and/or compensation measures have been considered and chosen
- Provision of restoration and where possible enhancement details
- Arrangements for appropriate after-care and long-term management

This information might be incorporated into an Environment Statement, if one is necessary under the Environmental Impact Regulations. It is recommended that for biodiversity it is based on the Institute of Ecology and Environmental Management (IEEM) Guidelines for Ecological Impact Assessment in the United Kingdom (2006) available at <a href="https://www.cieem.net/ecia-guidelines-terrestrial-freshwater-and-coastal-">https://www.cieem.net/ecia-guidelines-terrestrial-freshwater-and-coastal-</a>

The County Council encourages proposals that will enhance, restore or add to notable species populations, designated sites, habitats or other biodiversity or geological features. Minerals and waste development should conserve and deliver a measurable net gain in biodiversity. The Assessment should give an indication of likely change in the area (hectares) of habitats/features on the site after development, including whether there will be a net loss or gain. The County Council expect the assessment of effects and mitigation to use a Biodiversity Impact Calculator, such as the one developed by TVERC.

Please note there may be a need to request further information, which cannot always

be anticipated until the application is being considered and consultee responses have been received.

# Appendix 2 – Table A. Local Requirements for Designated Sites, Priority Habitats and Other Features: Criteria (Trigger List) for when a Survey and Assessment is required

#### 1. DESIGNATED SITES

Internationally designated sites Special Protection Area (SPA)

Special Area of Conservation (SAC)

Ramsar Site

Nationally designated sites Site of Special Scientific Interest (SSSI)

National Nature Reserve (NNR)

Regionally and locally designated sites Local Wildlife Sites

Local Nature Reserve (LNR) Oxford City Wildlife Sites

Check <a href="https://magic.defra.gov.uk/">https://magic.defra.gov.uk/</a> - and/or contact TVERC

Designated Sites likely to be affected by the proposal?

Yes/No

**2. HABITATS OF PRINCIPAL IMPORTANCE FOR BIODIVERSITY** under S.41 of the NERC Act 2006 (also known as Priority Habitats) – In Oxfordshire these habitats include:

- Eutrophic standing open water (including lakes and canals), mesotrophic lakes and ponds
- Rivers
- Fen, marsh & swamp (including reedbeds & rush pastures)
- Lowland raised bog
- Lowland beech and yew woodland
- Wet woodland
- Lowland mixed deciduous woodland (e.g. ancient woodland)
- Hedgerows
- Wood-pasture and parkland
- ▶ Lowland calcareous grassland (e.g. species-rich limestone grasslands)
- Lowland heathland and/or dry acid grassland
- ▶ Lowland meadows (e.g. species-rich neutral flower meadows)
- Traditional orchards
- Open mosaic habitats on previously developed land

IMPORTANT - this is not an exclusive list for the county and the English List of Habitats of Principal Importance for Biodiversity (available from DEFRA) is the full reference to be used.

For general information see <a href="https://magic.defra.gov.uk/">www.defra.gov.uk</a>. For distribution check <a href="https://magic.defra.gov.uk/">https://magic.defra.gov.uk/</a> - and contact TVERC.

Priority Habitats likely to be affected by the proposal?

Yes/No

#### 3. OTHER BIODIVERSITY FEATURES

(including those identified by the Local Biodiversity Partnership - see paragraph 84 DEFRA Circular 01/2005))

- Secondary Woodland and Mature/Veteran Trees
- Caves, , sink holes and disused tunnels and mines (e.g. roosts for bats)
- Trees, scrub and structures used for nesting by breeding birds
- Previously developed land with biodiversity interest (e.g. supporting habitats and notable plants, invertebrates, amphibians or any reptile)
- Urban green space (e.g. parks, allotments, flower-rich road verges and railway embankments)
- Other habitats and features identified in the Oxfordshire's Biodiversity Action Plan & sites within or adjacent to a Conservation Target Area.

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Distribution of sites supporting these features might be obtained by contacting TVERC.

Other Biodiversity Features likely to be affected by the proposal?

Yes/No

# Exceptions When a Full Survey and Assessment May Not Be Required

International and National Sites: A survey and assessment will not be required where the applicant is able to provide copies of pre-application correspondence with Natural England, where the latter confirms in writing that they are satisfied that the proposed development will not affect any statutory sites designated for their national or international importance.

Regional and Local Sites and Priority Habitats and Other Features: A survey and assessment will not be required where the applicant is able to provide copies of preapplication correspondence with the Planning Authority's Ecology Officer that they are satisfied that the proposed development will not affect any regional or local sites designated for their local nature conservation importance or any other priority habitats or listed features.

# Appendix 3 - Table B. Local Requirements for Protected Species: Trigger List for when a Survey and Assessment is required

See <a href="https://www.naturalengland.org.uk">www.naturalengland.org.uk</a> for information on species protection and contact TVERC who can check for known records of all notable species.

Where there is a black dot under a species column this indicates a survey & assessment trigger for that species. If the proposal matches the trigger then a \_Yes' should be checked in the final column. If pre-application advice/evidence from the Planning Authority or qualified ecologist indicates otherwise then this must be stated under the \_No' column and the advice/evidence submitted with the application. See further notes beneath this table.

Proposals for Development That Will Trigger a Legally Protected Species Survey							,							
	Bats	Barn Owls	Breeding Birds	Gt. Crested Newts	Otters	Dormouse	Water Vole	Badger	Reptiles	Amphibians	Plants	White- clawed Cravfish	No	Yes
Proposed development which includes the modification conversion, demolition or removal of buildings and structures (especially roof voids) involving the following:  all agricultural buildings (e.g. farmhouses and barns) of traditional brick or stone construction and/or with exposed wooden beams greater than 20cm thick;	•	•	•											
<ul> <li>all buildings with weather boarding and/or hanging tiles that are within 200m of woodland and/or water;</li> </ul>	•													
<ul> <li>pre-1960 detached buildings and structures within 200m of woodland and/or water;</li> </ul>														
<ul> <li>pre-1914 buildings within 400m of woodland and/or water;</li> </ul>	•													
<ul> <li>pre-1914 buildings with gable ends or slate roofs, regardless of location;</li> </ul>	•													
<ul> <li>all tunnels, mines, kilns, ice-houses, adits, military fortifications, air raid shelters, cellars and similar underground ducts and structures;</li> </ul>	•													
all bridge structures, aqueducts and viaducts (especially over water and wet ground).	•		•											

Proposals involving lighting of churches and listed buildings or flood lighting of green space within 50m of woodland, water, field hedgerows or lines of trees with obvious connectivity to woodland or water.	•	•	•										
Proposals affecting woodland, or field hedgerows and/or lines of trees with obvious connectivity to woodland or water bodies.	•		•			•		•			•		
Proposed tree work (felling or lopping) and/or development affecting:  old and veteran trees that are older than 100 years;	:		•										
<ul> <li>trees with obvious holes, cracks or cavities,</li> <li>trees with a girth greater than 1m at chest height;</li> </ul>													
Proposals affecting gravel pits or quarries and natural cliff faces and rock outcrops with crevices, caves or swallets/sinkholes.	•		•						•				
Major proposals within 500m of a pond or minor proposals within 100m of pond  (Note: A major proposals is one that is more than 10 dwellings or more than 0.5 hectares				•									
or for non-residential development is more than 1000m <sup>2</sup> floor area or more than 1 hectare)  Proposals affecting of rivers, streams, canals, lakes, or other aquatic habitats.	•		•		•		•						
Proposals affecting:  derelict' land (brownfield sites), allotments and railway land			•	•			•	•	•	•			
Proposed development affecting any buildings, structures, storage or waste piles and other suitable features that protected species may be reasonably likely to inhabit or locations where protected species are known to be present *on site or in the immediate vicinity	•	•	•	•	•	•	•	•	•	•	•	•	
* Confirmed as present by either a data search (for instance from TVERC) or as notified to the developer by the Planning Authority, and/or by Natural England, the Environment Agency or other nature conservation organisation.	Bats	Barn Owls	Breeding Birds	Great Crested Newt	Otters	Dormouse	Water Vole	Badgers	Reptiles	Amphibians	Plants	White-clawed Crayfish	

#### Exceptions for When a Full Protected Species Survey and Assessment May Not Be Required

- a. Following consultation by the applicant at the pre-application stage, the LPA has stated in writing that no protected species surveys and assessments are required.
- b. If it is clear that no protected species are present, despite the guidance in the above table indicating that they are likely, the applicant should provide evidence with the planning application to demonstrate that such species are absent (e.g. this might be in the form of a letter or brief report from a suitably qualified and experienced person, or a relevant local nature conservation organisation).
- c. If it is clear that the development proposal will not affect any protected species present, then only limited information needs to be submitted. This information should, however, (i) demonstrate that there will be no significant effect on any protected species present and (ii) include a statement acknowledging that the applicant is aware that it is a criminal offence to disturb or ham protected species should they subsequently be found or disturbed.

In some situations, it may be appropriate for an applicant to provide a protected species survey and report for <u>only one or a few</u> of the species shown in the Table above, *e.g.* those that are likely to be affected by a particular activity. Applicants should make clear which species are included in the report and which are not because exceptions apply.

Natural England is in the process of bringing in new protected species policy which may alter the way developers can approach dealing with these species. Four new policies are proposed that may enable developers to adopt different approaches to protected species survey, protection and mitigation. This may help avoid delays according to survey seasons, but may increase spend on habitat provision etc. Taking advice from a qualified ecologist will help decide how to approach protected species on any specific site.

In addition to the four new policies, in 2018, a pilot Great Crested Newt project will operate across Vale of White Horse, South Oxfordshire and Oxford City districts. 'District level licensing' will come into place and the MPA will be able to accept development that may affect this species under this new scheme, provided work is done nearby under the scheme to improve habitat for the species. Developers will be able to choose whether to enter the pilot project or to carry out standard survey and mitigation. Taking advice from a qualified ecologist will help decide which approach is best for a specific site.

# Appendix 4. Local Requirements for Designated Geodiversity Sites and Features: Criteria (Trigger List) for when a Survey and Assessment are Required

#### 1. DESIGNATED SITES

Site of Special Scientific Interest (SSSI) Nationally designated sites

National Nature Reserves (NNRs)

Regionally and locally designated sites Regionally Important Geological Sites

(RIGS)

Local Nature Reserves (LNRs)

Check www.magic.gov.uk for further information.

Designated Sites likely to be affected by the proposal?

Yes/No

#### 2. OTHER GEOLOGICAL CONSERVATION FEATURES

(based on the Earth Science Conservation Classification)

Presence of these features outside designated sites may need to be checked and the relevant Local Geodiversity Action Plan (where available).

Exposure or Extensive Sites	> > > > >	Active quarries and pits Disused quarries and pits River and stream sections Inland outcrops Exposure underground mines and tunnels Extensive buried interest Road, rail and canal cuttings
Integrity Site	<b>) ) )</b>	Static (fossil) geomorphological Active process geomorphological Caves

Karst (i.e. water dissolved limestone systems)

Finite mineral, fossil or other geological Finite Mine dumps Site Finite underground mines and tunnels

Finite buried interest

#### Exceptions When a Full Survey and Assessment May Not Be Required

National Sites: A survey and report will not be required where the applicant is able to provide copies of pre-application correspondence with Natural England, where the latter confirms in writing that they are satisfied that the proposed development will not affect any statutory sites designated for their national importance.

Regional and Local Sites: A survey and report will not be required where the applicant is able to provide copies of pre-application correspondence with appropriate local geological experts (such as the Oxfordshire Geology Trust) that they are satisfied that the proposed development will not affect any regional or local sites designated for their local nature conservation importance.